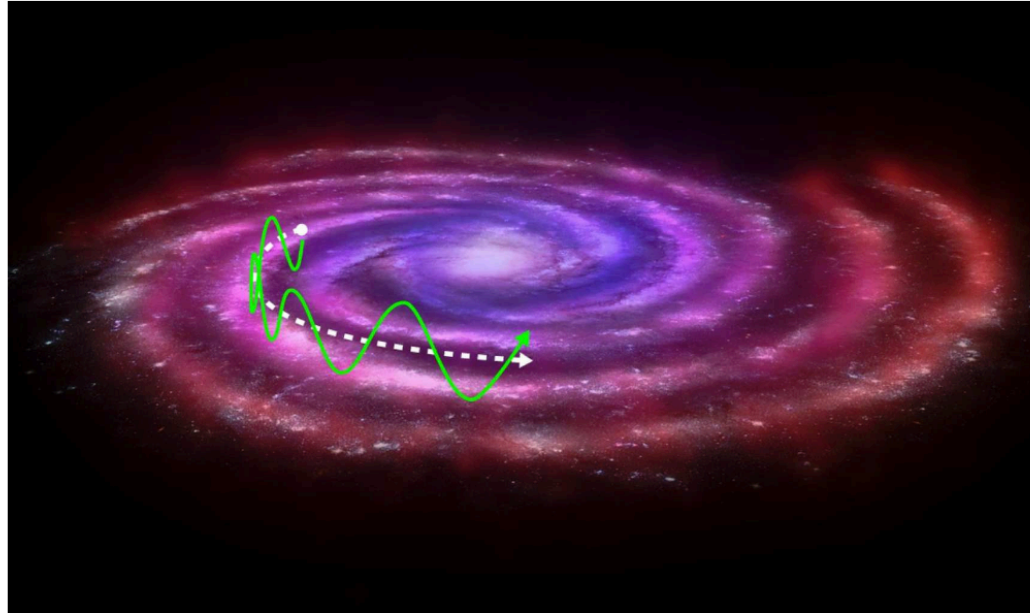


# **LECTURE 18**

# Part IV: Collisionless Dynamics



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11	Tue 11/07	<b>Collisionless Dynamics:</b> Jeans equations & dynamical modeling
11	Thu 11/09	<b>Collisionless Dynamics:</b> virial theorem & gravo-thermal catastrophe
12	Tue 11/14	<b>Collisionless Dynamics:</b> collisions & encounters

# Jeans Equations and Dynamical Modelling

Collisionless dynamics is governed by the [Collisionless Boltzmann Equation \(CBE\)](#)

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + v_i \frac{\partial f}{\partial x_i} - \frac{\partial \Phi}{\partial x_i} \frac{\partial f}{\partial v_i} = 0$$

By taking velocity moments of the CBE, we end up with the [momentum equations](#):

$$\frac{\partial u_i}{\partial t} + u_i \frac{\partial u_j}{\partial x_i} = \frac{1}{\rho} \frac{\partial \hat{\sigma}_{ij}}{\partial x_i} - \frac{\partial \Phi}{\partial x_i}$$

These are called the [Jeans equations](#), and are basically exactly the same as the [Euler equations](#) or the [Navier-Stokes equations](#), except that the **stress tensor** is different

$$\begin{aligned} \text{Stress Tensor: } \hat{\sigma}_{ij} &= -\rho \langle w_i w_j \rangle = \rho \langle v_i \rangle \langle v_j \rangle - \rho \langle v_i v_j \rangle \\ \text{Velocity Dispersion Tensor: } \sigma_{ij}^2 &= \langle v_i v_j \rangle - \langle v_i \rangle \langle v_j \rangle = -\frac{\hat{\sigma}_{ij}}{\rho} \end{aligned}$$

Note that, for consistency with most literature on galactic dynamics, we write  $\langle v_i \rangle$  rather than  $u_i$

## The Issue of Closure:

For a **collisional fluid**, we have that  $\hat{\sigma}_{ij} = -\rho\sigma_{ij}^2 = -P\delta_{ij} + \tau_{ij}$

with the **deviatoric stress tensor** depending on **kinetic** and **bulk viscosity**.

Using the equation of state  $P=P(\rho, T)$  and constitutive equations for the transport coefficients  $\mu=\mu(T)$  and  $\eta=\eta(T)$  we achieve closure: #variables = #equations

For a **collisionless fluid**, no constitutive equations or equation of state exist...

Hence, the stress tensor, which is manifest symmetric, has 6 unknowns and the Jeans equations (together with continuity equation) does **not** form a closed set

Adding **higher-order moment equations** of the CBE (i.e., equivalent of energy equation) does not help; although this adds equations, it adds even more unknowns such as  $\langle v_i v_j v_k \rangle$ , etc

The set of CBE moment equations never closes...

## The Issue of Closure:

The velocity dispersion tensor is a **local** quantity:  $\sigma_{ij}^2 = \sigma_{ij}^2(\vec{x})$

At each location, it can be **diagonalized** to the local **velocity ellipsoid**, whose principal axes are defined by the orthogonal eigenvectors, with corresponding eigenvalues  $\sigma_i^2 = \sigma_{ii}^2$

These represent the **anisotropic** pressure-like forces that counteract the gravitational force

In general, we should expect  $\sigma_1^2 \neq \sigma_2^2 \neq \sigma_3^2$ , which implies that the system will be **triaxial**

In order to be able to solve the **Jeans equations** (i.e., to achieve closure), it is common to impose certain symmetries. A typical example is to assume that the system is **isotropic**, in which case  $\sigma_1^2 = \sigma_2^2 = \sigma_3^2$ : the stress tensor in that case has only one unknown and the Jeans equations are closed.

**Jeans equations in cylindrical coordinates:**  $(R, \phi, z)$

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \dot{R} \frac{\partial f}{\partial R} + \dot{\phi} \frac{\partial f}{\partial \phi} + \dot{z} \frac{\partial f}{\partial z} + \dot{v}_R \frac{\partial f}{\partial v_R} + \dot{v}_\phi \frac{\partial f}{\partial v_\phi} + \dot{v}_z \frac{\partial f}{\partial v_z}$$



$$\dot{v}_R = -\frac{\partial \Phi}{\partial R} + \frac{v_\phi^2}{R}$$

$$\dot{v}_\phi = -\frac{1}{R} \frac{\partial \Phi}{\partial R} + \frac{v_R v_\phi}{R}$$

see lecture notes

$$\dot{v}_z = -\frac{\partial \Phi}{\partial z}$$



$$\frac{\partial f}{\partial t} + v_R \frac{\partial f}{\partial R} + \frac{v_\phi}{R} \frac{\partial f}{\partial \phi} + v_z \frac{\partial f}{\partial z} + \left[ \frac{v_\phi^2}{R} - \frac{\partial \Phi}{\partial R} \right] \frac{\partial f}{\partial v_R} - \frac{1}{R} \left[ v_R v_\phi + \frac{\partial \Phi}{\partial \phi} \right] \frac{\partial f}{\partial v_\phi} - \frac{\partial \Phi}{\partial z} \frac{\partial f}{\partial v_z} = 0$$

## Jeans equations in cylindrical coordinates: $(R, \phi, z)$

$$\frac{\partial f}{\partial t} + v_R \frac{\partial f}{\partial R} + \frac{v_\phi}{R} \frac{\partial f}{\partial \phi} + v_z \frac{\partial f}{\partial z} + \left[ \frac{v_\phi^2}{R} - \frac{\partial \Phi}{\partial R} \right] \frac{\partial f}{\partial v_R} - \frac{1}{R} \left[ v_R v_\phi + \frac{\partial \Phi}{\partial \phi} \right] \frac{\partial f}{\partial v_\phi} - \frac{\partial \Phi}{\partial z} \frac{\partial f}{\partial v_z} = 0$$

The **Jeans equations** follow from multiplication with  $v_R$ ,  $v_\phi$ , and  $v_z$  and integrating over velocity space. Note that the **cylindrical symmetry** requires that all derivatives with respect to  $\phi$  vanish. The remaining terms are:

$$\begin{aligned} \int v_R \frac{\partial f}{\partial t} d^3 \vec{v} &= \frac{\partial}{\partial t} \int v_R f d^3 \vec{v} = \frac{\partial(\rho \langle v_R \rangle)}{\partial t} \\ \int v_R^2 \frac{\partial f}{\partial R} d^3 \vec{v} &= \frac{\partial}{\partial R} \int v_R^2 f d^3 \vec{v} = \frac{\partial(\rho \langle v_R^2 \rangle)}{\partial R} \\ \int v_R v_z \frac{\partial f}{\partial z} d^3 \vec{v} &= \frac{\partial}{\partial z} \int v_R v_z f d^3 \vec{v} = \frac{\partial(\rho \langle v_R v_z \rangle)}{\partial z} \\ \int \frac{v_R v_\phi^2}{R} \frac{\partial f}{\partial v_R} d^3 \vec{v} &= \frac{1}{R} \left[ \int \frac{\partial(v_R v_\phi^2 f)}{\partial v_R} d^3 \vec{v} - \int \frac{\partial(v_R v_\phi^2)}{\partial v_R} f d^3 \vec{v} \right] = -\rho \frac{\langle v_\phi^2 \rangle}{R} \\ \int v_R \frac{\partial \Phi}{\partial R} \frac{\partial f}{\partial v_R} d^3 \vec{v} &= \frac{\partial \Phi}{\partial R} \left[ \int \frac{\partial(v_R f)}{\partial v_R} d^3 \vec{v} - \int \frac{\partial v_R}{\partial v_R} f d^3 \vec{v} \right] = -\rho \frac{\partial \Phi}{\partial R} \\ \int \frac{v_R^2 v_\phi}{R} \frac{\partial f}{\partial v_\phi} d^3 \vec{v} &= \frac{1}{R} \left[ \int \frac{\partial(v_R^2 v_\phi f)}{\partial v_\phi} d^3 \vec{v} - \int \frac{\partial(v_R^2 v_\phi)}{\partial v_\phi} f d^3 \vec{v} \right] = -\rho \frac{\langle v_R^2 \rangle}{R} \\ \int v_R \frac{\partial \Phi}{\partial z} \frac{\partial f}{\partial v_z} d^3 \vec{v} &= \frac{\partial \Phi}{\partial z} \left[ \int \frac{\partial(v_R f)}{\partial v_z} d^3 \vec{v} - \int \frac{\partial v_z}{\partial v_R} f d^3 \vec{v} \right] = 0 \end{aligned}$$

**Jeans equations in cylindrical coordinates:**  $(R, \phi, z)$

$$\frac{\partial(\rho\langle v_R \rangle)}{\partial t} + \frac{\partial(\rho\langle v_R^2 \rangle)}{\partial R} + \frac{\partial(\rho\langle v_R v_z \rangle)}{\partial z} + \rho \left[ \frac{\langle v_R^2 \rangle - \langle v_\phi^2 \rangle}{R} + \frac{\partial\Phi}{\partial R} \right] = 0$$

$$\frac{\partial(\rho\langle v_\phi \rangle)}{\partial t} + \frac{\partial(\rho\langle v_R v_\phi \rangle)}{\partial R} + \frac{\partial(\rho\langle v_\phi v_z \rangle)}{\partial z} + 2\rho \frac{\langle v_R v_\phi \rangle}{R} = 0$$

$$\frac{\partial(\rho\langle v_z \rangle)}{\partial t} + \frac{\partial(\rho\langle v_R v_z \rangle)}{\partial R} + \frac{\partial(\rho\langle v_z^2 \rangle)}{\partial z} + \rho \left[ \frac{\langle v_R v_z \rangle}{R} + \frac{\partial\Phi}{\partial z} \right] = 0$$

Upon inspection, these are 3 equations for a total of 9 unknowns.....no closure.

To proceed, it is common to make the following assumptions:

- 1 System is static  $\Rightarrow$  the  $\frac{\partial}{\partial t}$ -terms are zero and  $\langle v_R \rangle = \langle v_z \rangle = 0$ .
- 2 Velocity dispersion tensor is diagonal  $\Rightarrow \langle v_i v_j \rangle = 0$  (if  $i \neq j$ ).
- 3 Meridional isotropy  $\Rightarrow \langle v_R^2 \rangle = \langle v_z^2 \rangle = \sigma_R^2 = \sigma_z^2 \equiv \sigma^2$ .



## Jeans equations in cylindrical coordinates: $(R, \phi, z)$

The **Jeans equations** now reduce to:

$$\frac{\partial(\rho\sigma^2)}{\partial R} + \rho \left[ \frac{\sigma^2 - \langle v_\phi^2 \rangle}{R} + \frac{\partial\Phi}{\partial R} \right] = 0$$

$$\frac{\partial(\rho\sigma^2)}{\partial z} + \rho \frac{\partial\Phi}{\partial z} = 0$$

2 equations with 2 unknowns....closure.

## Jeans Modelling:

### Observations:

surface brightness  $\Sigma(\mathbf{x}, \mathbf{y})$

rotation velocity  $v_{\text{rot}}(\mathbf{x}, \mathbf{y})$

velocity dispersion  $\sigma_{\text{los}}(\mathbf{x}, \mathbf{y})$

### Assumptions

inclination angle

mass-to-light ratio

dark matter distribution

central black hole mass

$$\Sigma(\mathbf{x}, \mathbf{y}) \quad \rightarrow \quad \rho(R, z) \quad \rightarrow \quad \Phi(R, z) \quad \rightarrow \quad \sigma(R, z) \quad \rightarrow \quad \langle v_\phi^2 \rangle$$

deprojection

M/L ratio

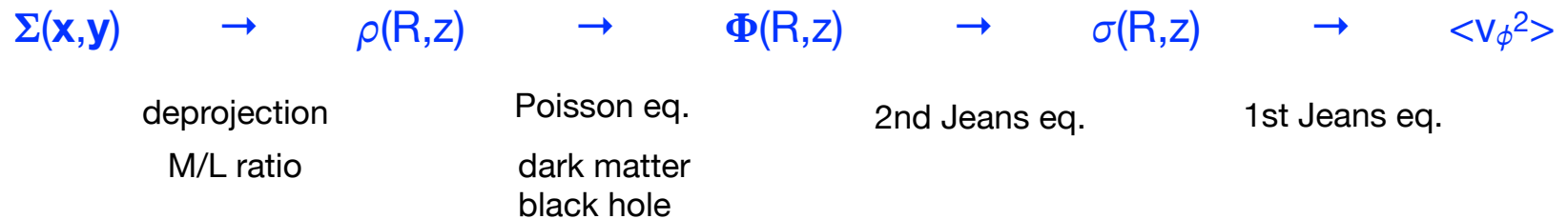
Poisson eq.

dark matter  
black hole

2nd Jeans eq.

1st Jeans eq.

## Jeans equations in cylindrical coordinates: $(R, \phi, z)$



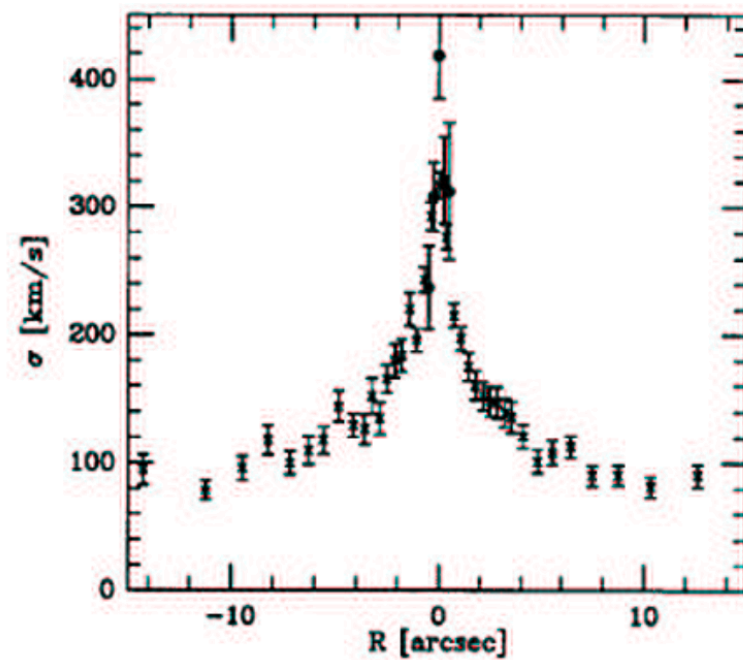
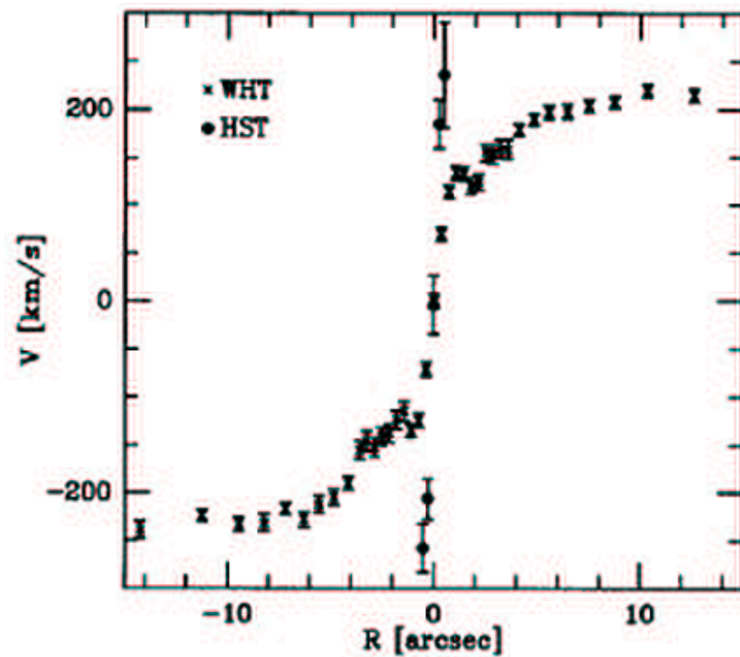
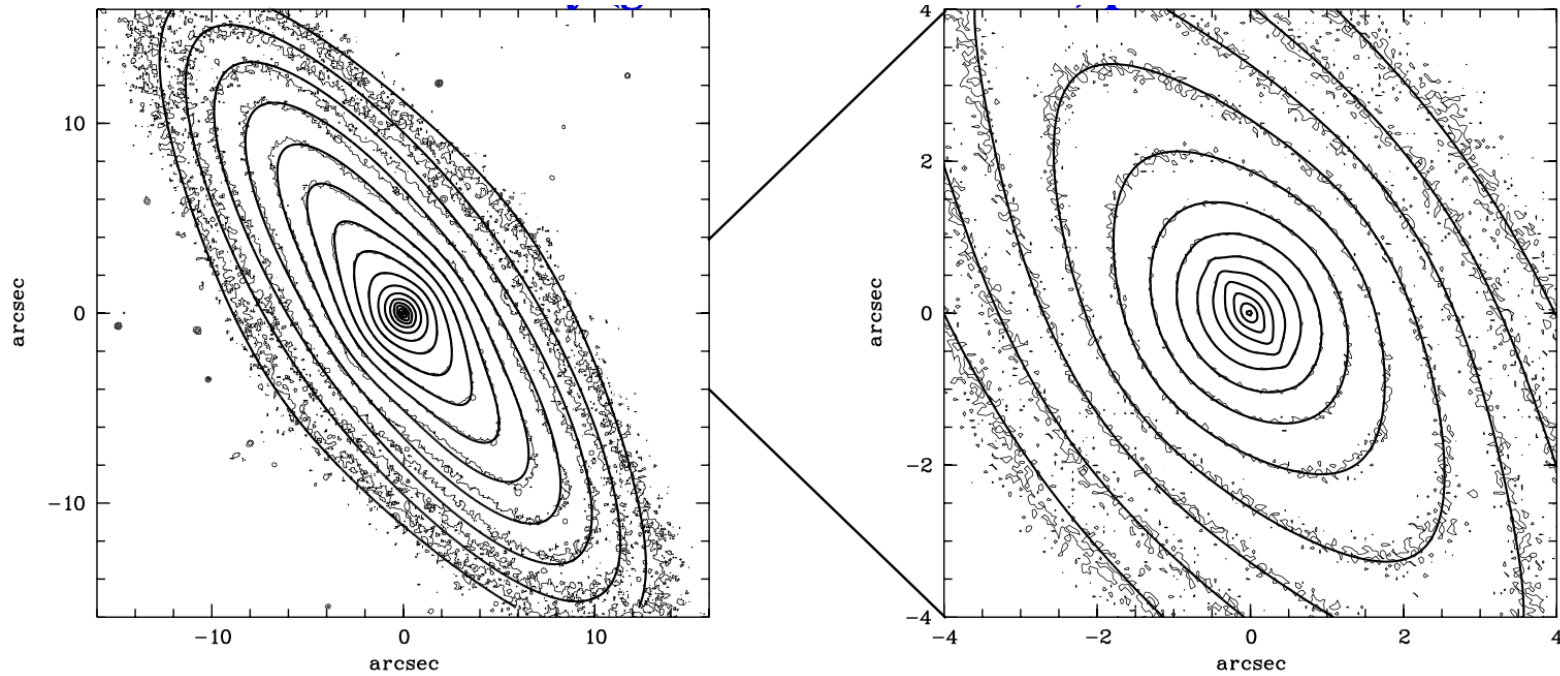
Note that  $\langle v_\phi^2 \rangle = \langle v_\phi \rangle^2 + \sigma_\phi^2$ ; we still don't know how the rms motion in the azimuthal direction splits in **bulk motion** (rotation) and **random motion** (dispersion)

In practice, one often follows Satoh (1980) and writes  $\langle v_\phi \rangle^2 = k [\langle v_\phi^2 \rangle - \langle v_R^2 \rangle]$  with  $k$  a **free parameter**.

Once you pick a value for  $k$ , the full dynamics are specified: next step is to **project** on the sky and compare to the observed  $v_{\text{rot}}(\mathbf{x}, \mathbf{y})$  and  $\sigma_{\text{los}}(\mathbf{x}, \mathbf{y})$ . Use goodness-of-fit to find best fit values for inclination angle, mass-to-light ratio,  $M_{\text{BH}}$ , and dark matter halo...

# Example: NGC 4342

van den Bosch et al. 1998,  
Cretton & van den Bosch 1999

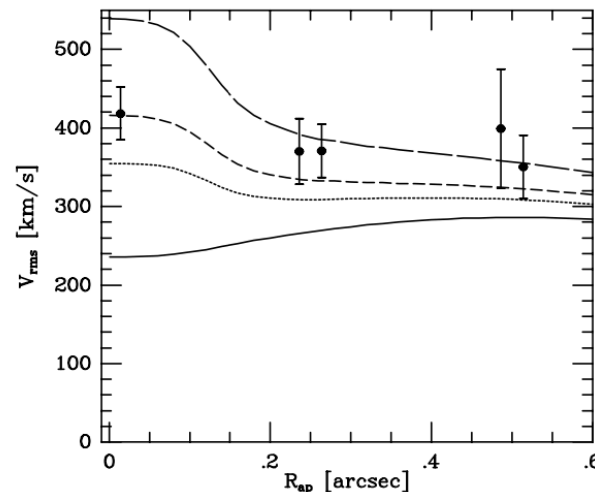
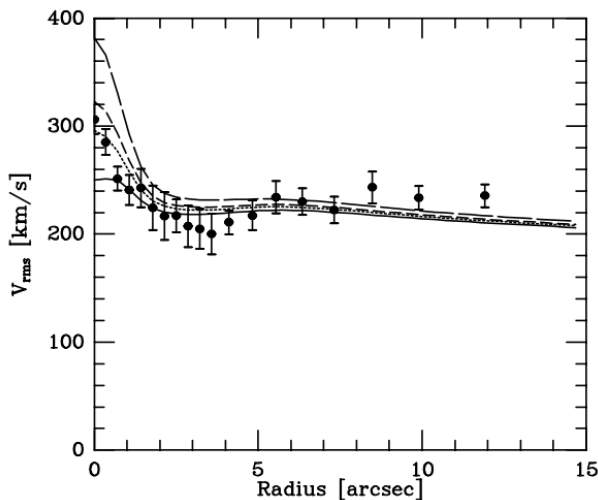
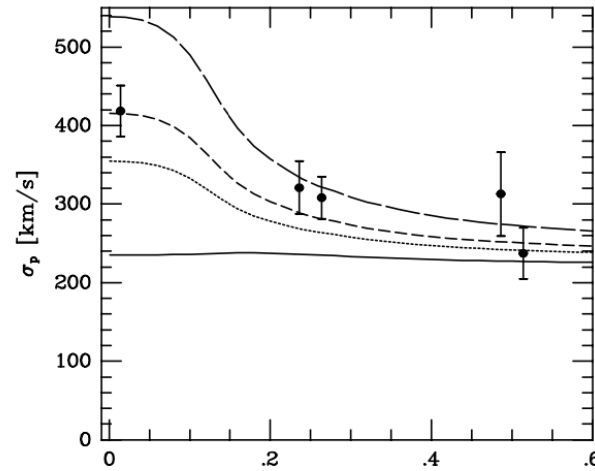
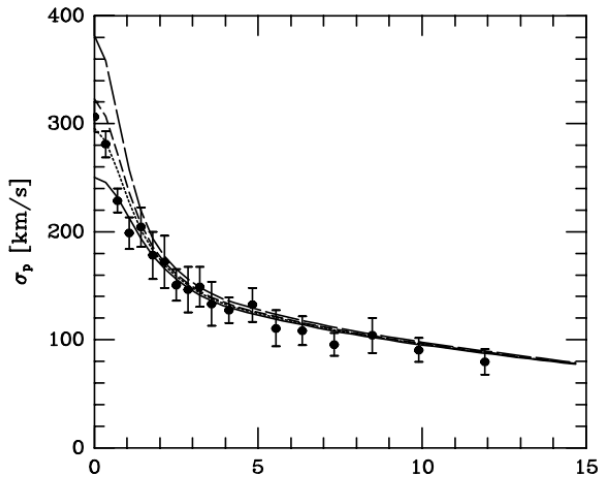
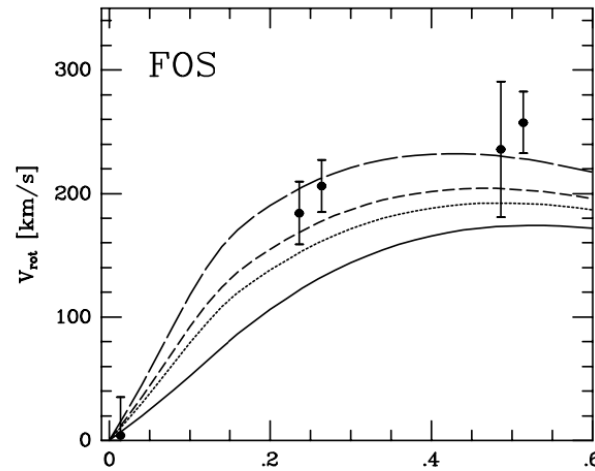
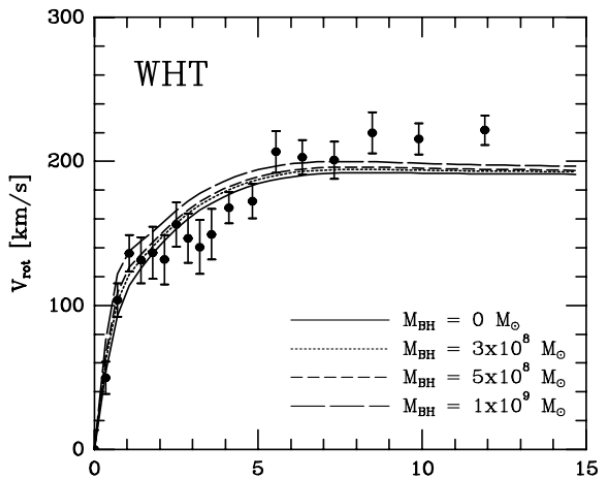


van den Bosch et al. 1998,  
Cretton & van den Bosch 1999

### Jeans Modelling:

$$k=1$$

$$i = 90^\circ$$



Non-zero BH seems to be required,  
but rms-velocity poorly fit.

Too many assumptions made....

Even if a Jeans model exists that  
can fit the data, there is not  
guarantee that  $f \geq 0$ ...

Robust 'detection' of BH mass  
requires more general,  
Schwarzschild orbit modelling

