

The Formation of Disk Galaxies

Insights from Disk Galaxy Scaling Relations
and the Galaxy-Dark Matter Connection



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Disk Formation: The Standard Picture

Disk galaxies are systems in centrifugal equilibrium.

Hence, their structure is governed by angular momentum content.

The Three Pillars of Disk Formation

- Angular momentum originates from cosmological torques
- Baryons & DM acquire identical angular momentum distributions
- During cooling, baryons conserve their specific angular momentum

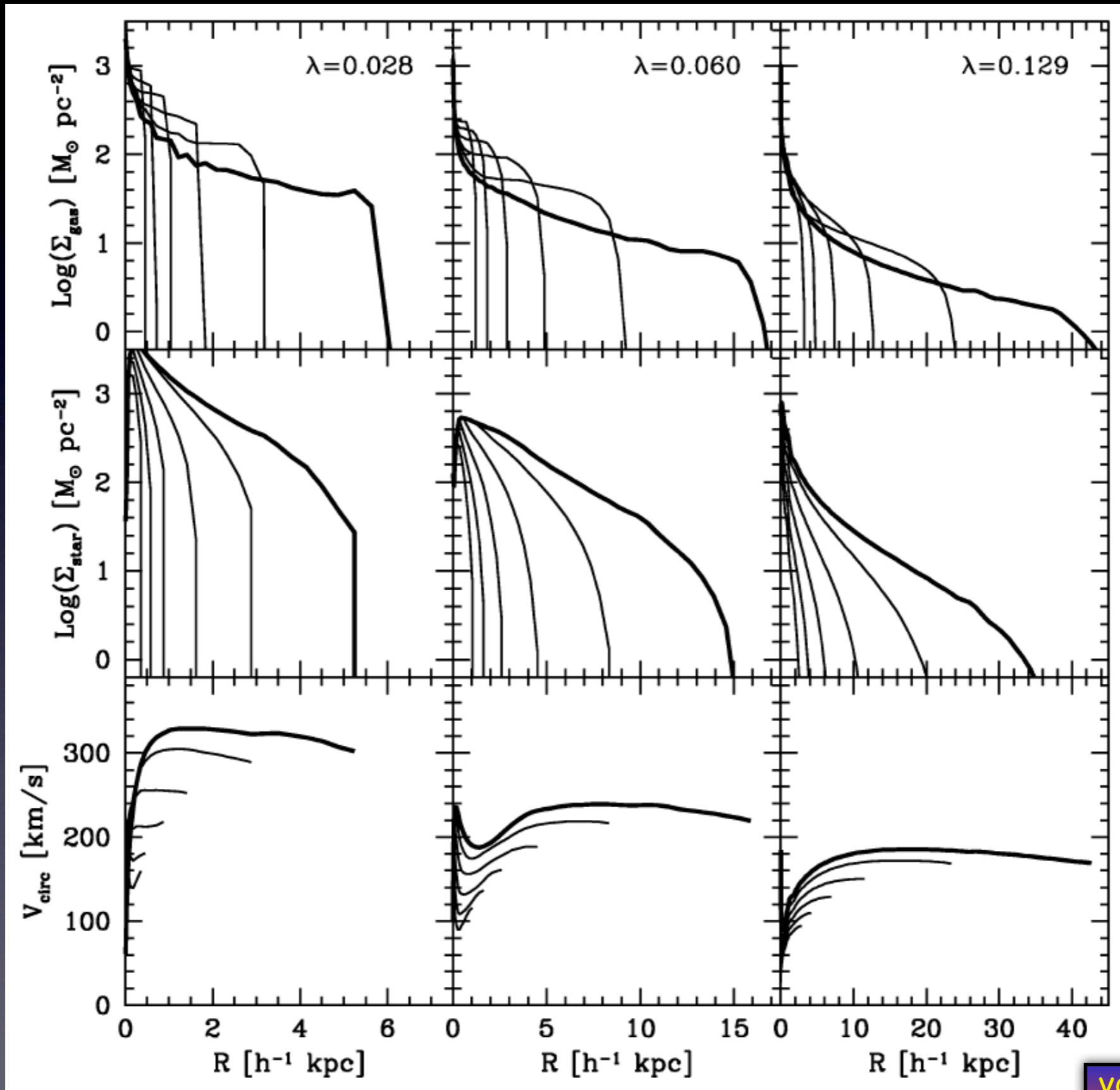


Gas settles in disk in centrifugal equilibrium

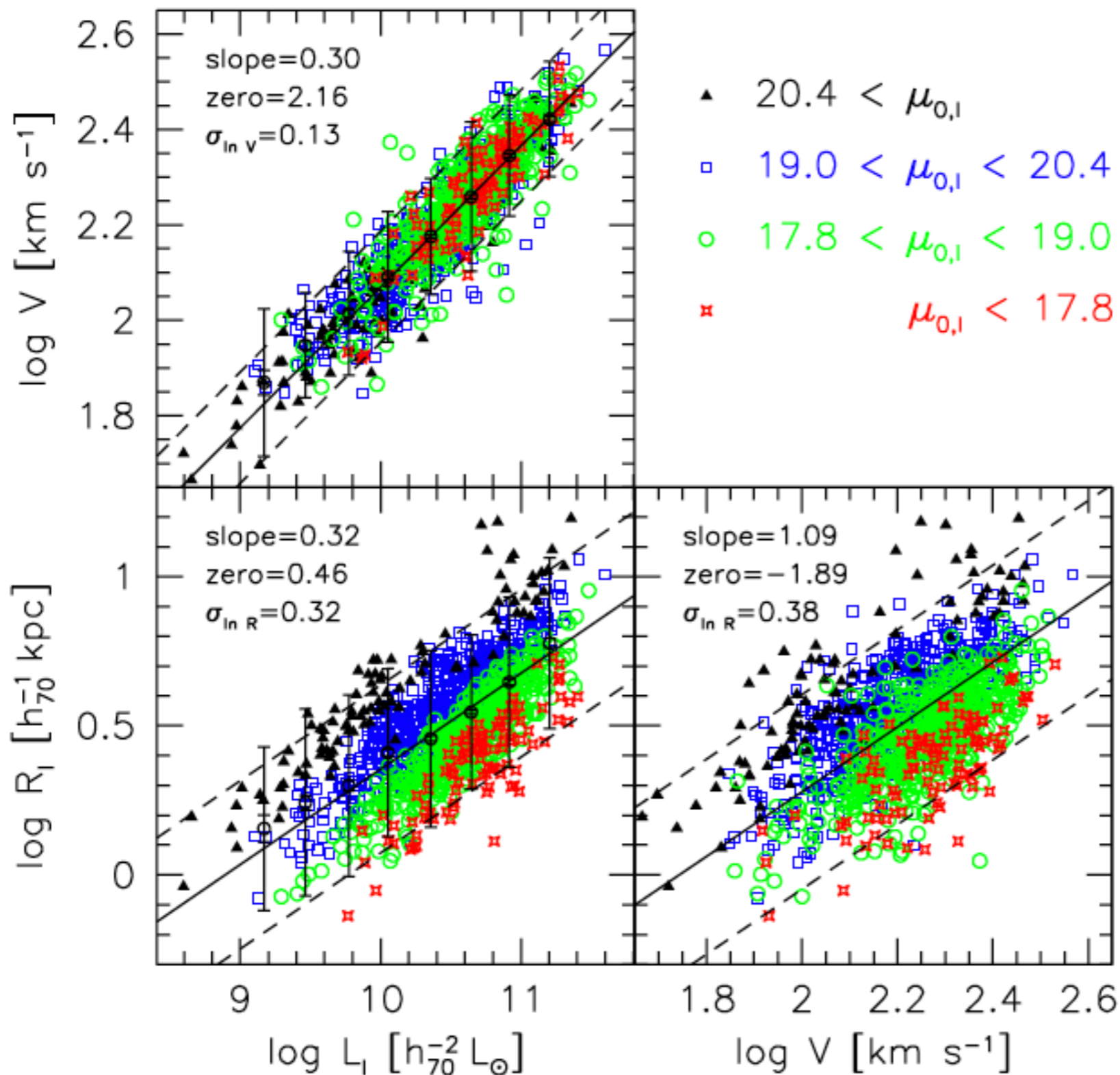
$$\Sigma_{\text{disk}}(R) \leftrightarrow M_{\text{bar}}(j_{\text{bar}}) \leftrightarrow M_{\text{dm}}(j_{\text{dm}})$$

It is assumed that DM halo contracts in response to disk formation (AC)

Inside-Out Growth of Galactic Disks



Disk Galaxy Scaling Relations



Sample of ~1300 disk galaxies with H α rotation curves.

Rotation velocities measured at 2.2 disk scale lengths.

Uniform inclination & extinction corrections.

Courteau+07

NOTE: TF residuals are not correlated with surface brightness (size).

Galaxy Scaling Relations

Tully-Fisher (TF) Relation

$$L \propto V_{\text{rot}}^{\alpha} \quad (\alpha \sim 3.5)$$

scatter NOT correlated with size

Faber-Jackson (FJ) Relation

$$L \propto \sigma^{\beta} \quad (\beta \sim 4)$$

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Fundamental Plane: $L \propto \sigma^{\beta} R_e^{\gamma}$

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This scaling is similar to observed stellar mass TF & FJ relations

$$V_{2.2} \propto M_*^{0.28}$$

[Dutton et al. 2010]

$V_{2.2}$ is disk rotation velocity
at 2.2 disk scale lengths

$$\sigma_e \propto M_*^{0.29}$$

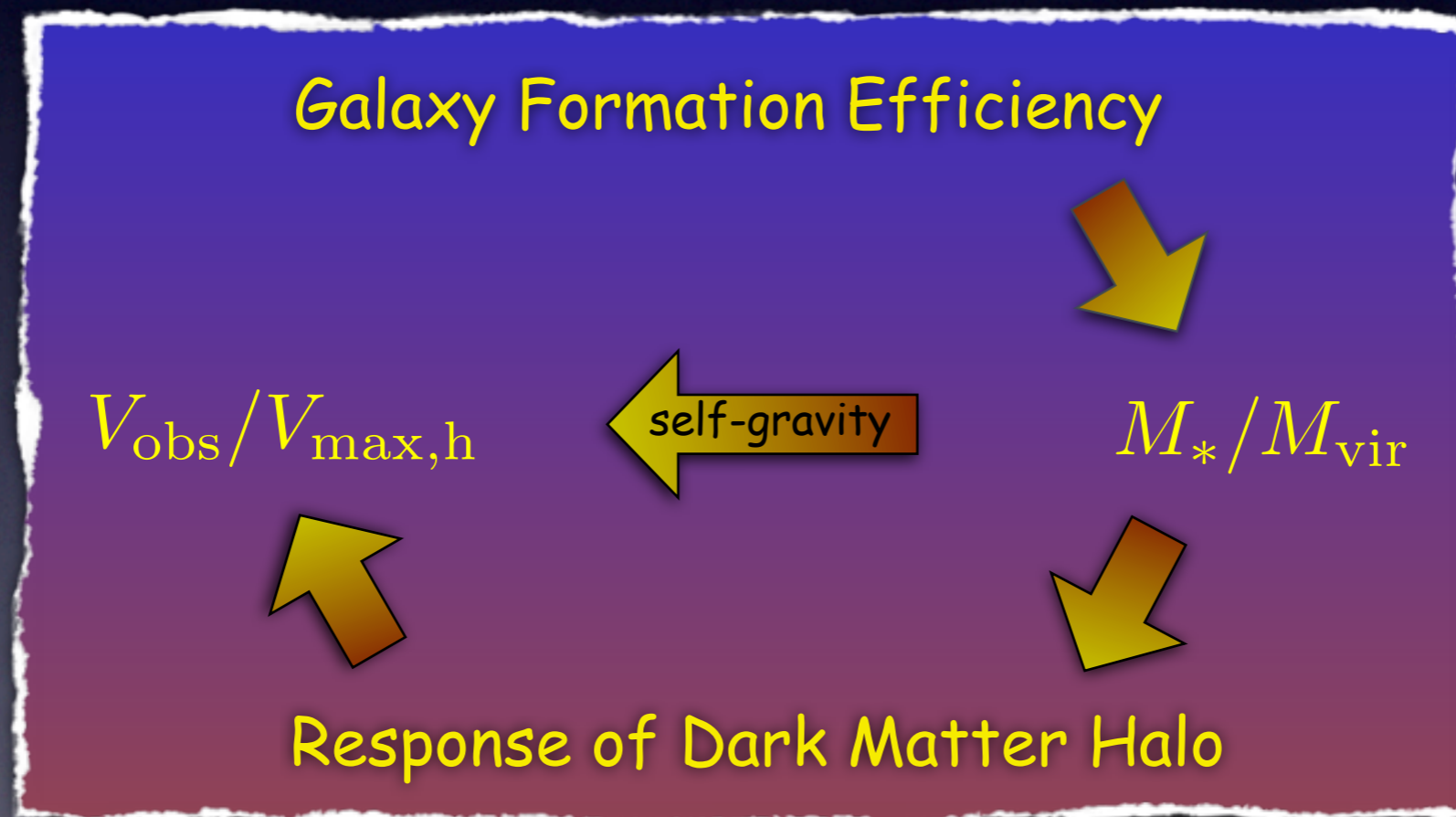
[Gallazzi et al. 2006]

σ_e is velocity dispersion
inside effective radius

The Origin of Galaxy Scaling Relations

For the $V_{\text{max,h}} - M_{\text{vir}}$ relation to be the direct origin of the TF & FJ relations requires that $V_{\text{obs}}/V_{\text{max,h}}$ and M_*/M_{vir} are both constants!✦

These requirements are neither "natural" nor consistent with observations



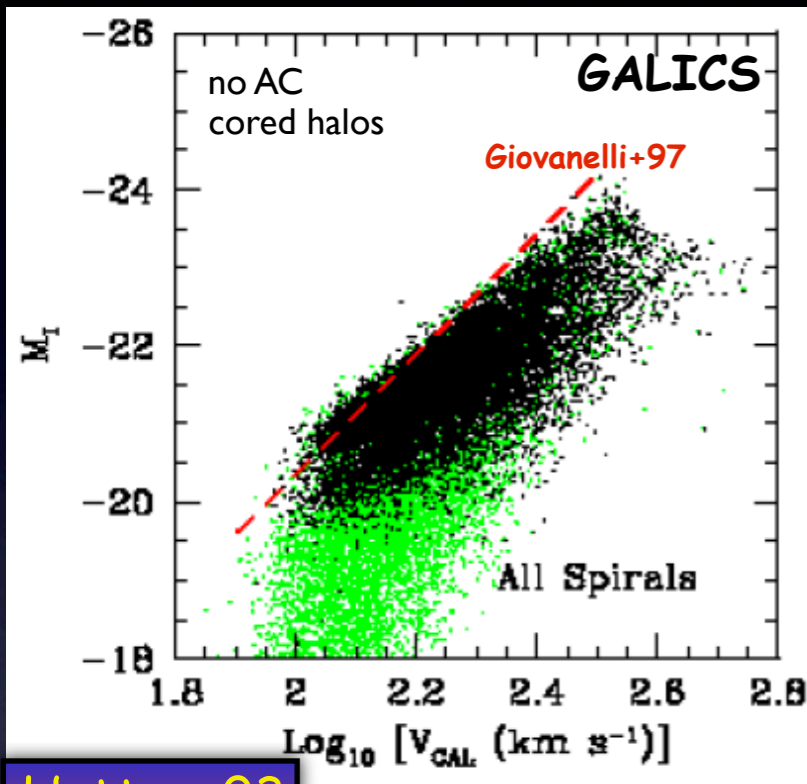
✦ Here $V_{\text{obs}} = V_{2.2}$ for late-types, and $V_{\text{obs}} = \sigma_e$ for early-types

Tully-Fisher Relation in Semi-Analytical Models

Simultaneously matching LF & TF has been long-standing problem for CDM-based models
(White & Frenk 1991; Kauffmann et al. 1993; Cole et al. 2000; and many more...)

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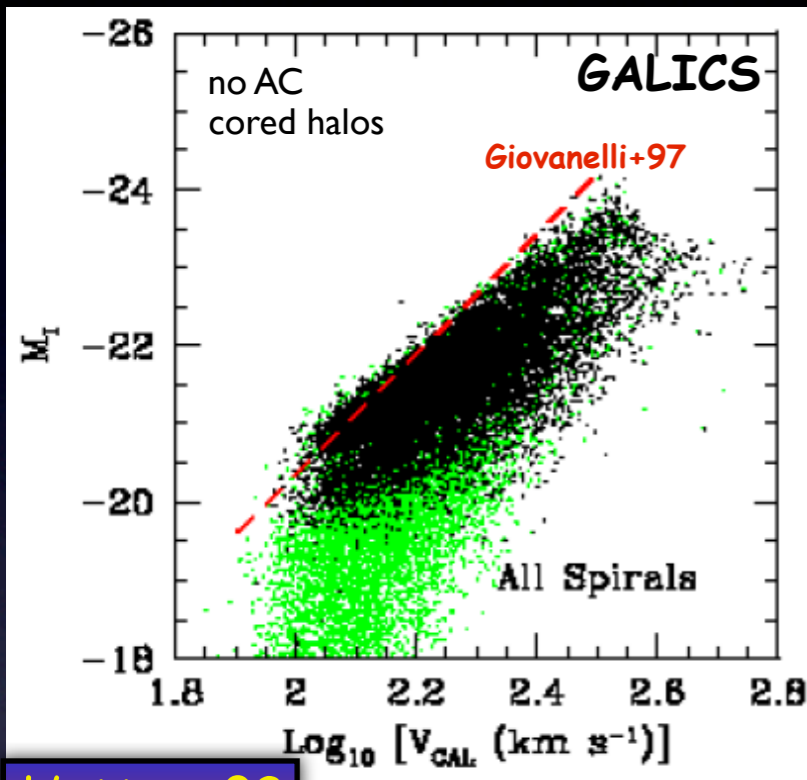
Hatton+03

GALICS: fail, despite ignoring AC and even assuming cored halos

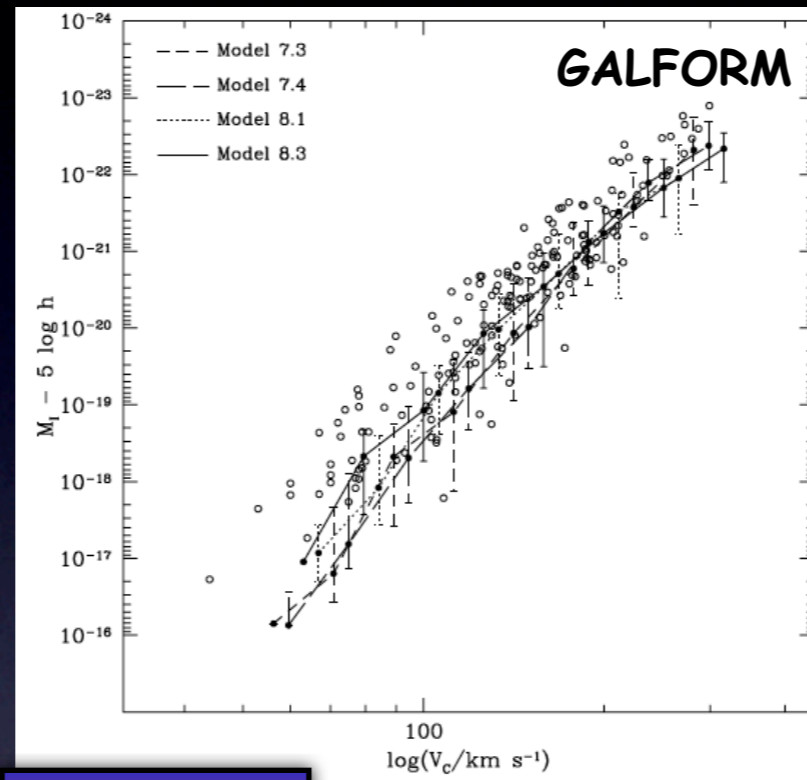
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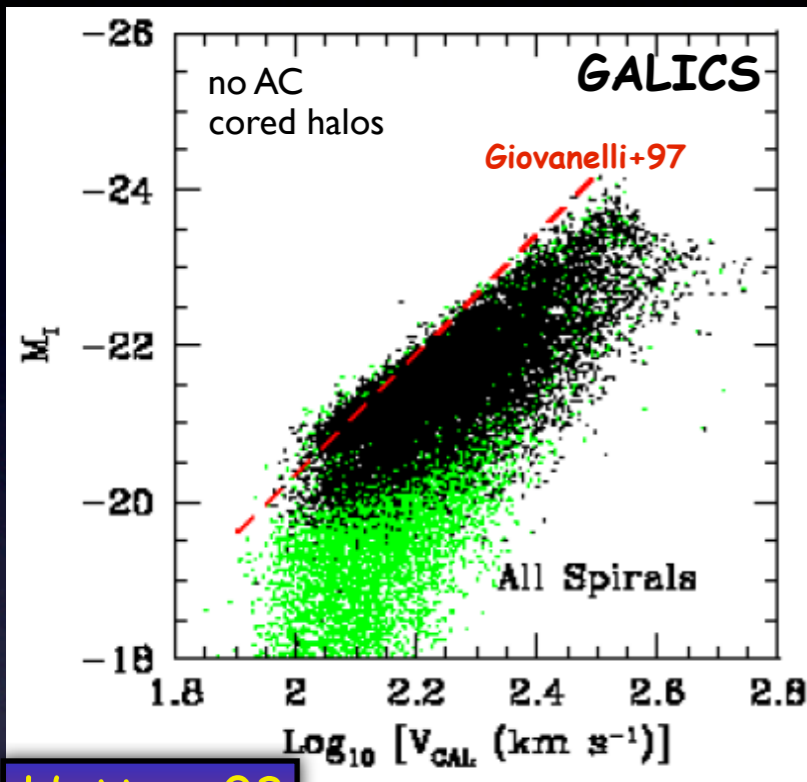
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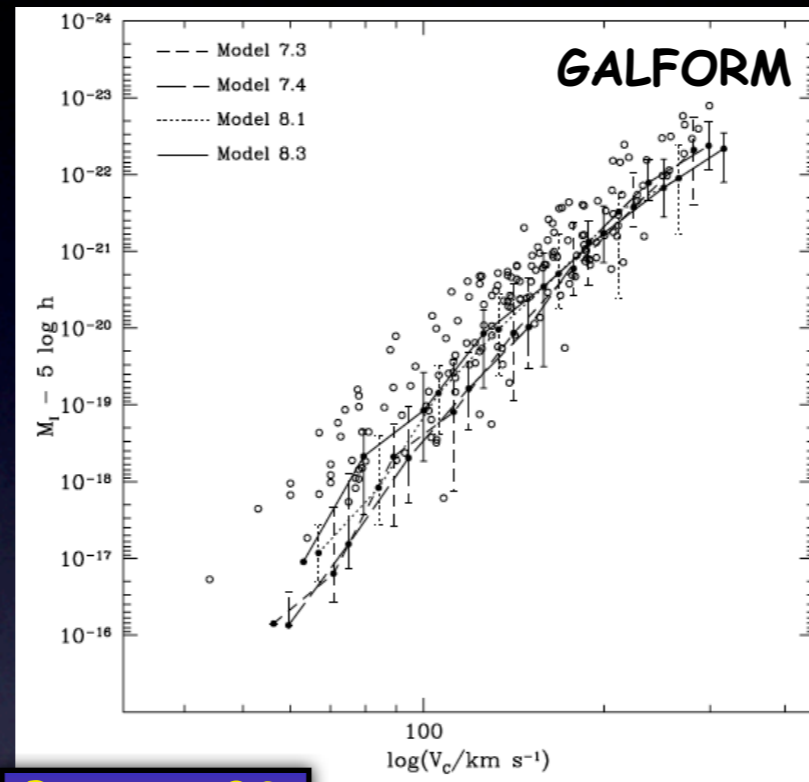
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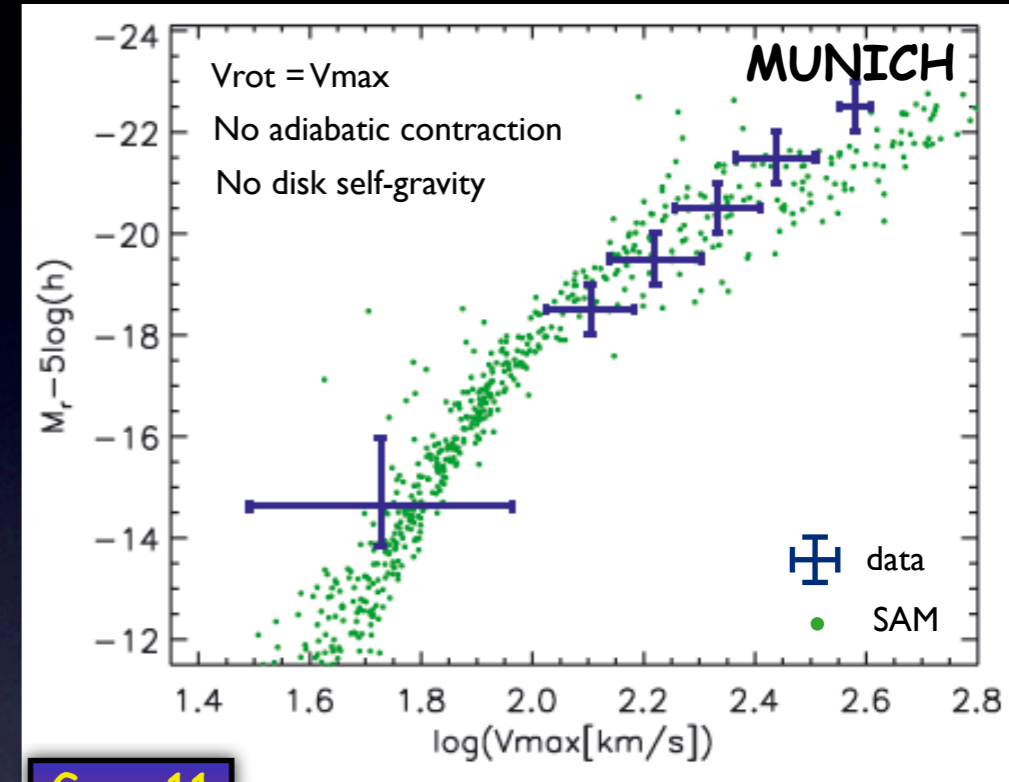
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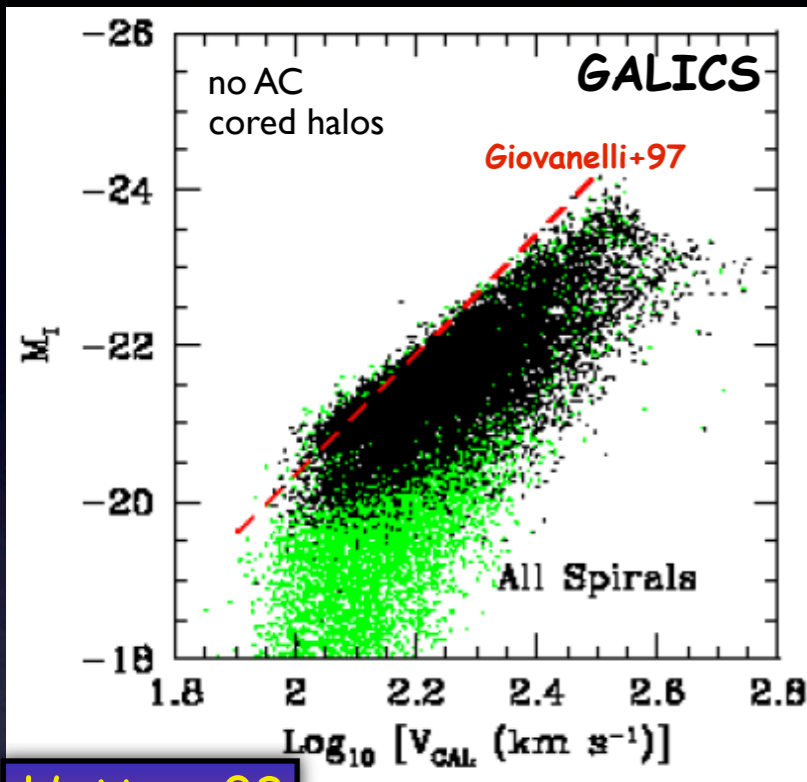
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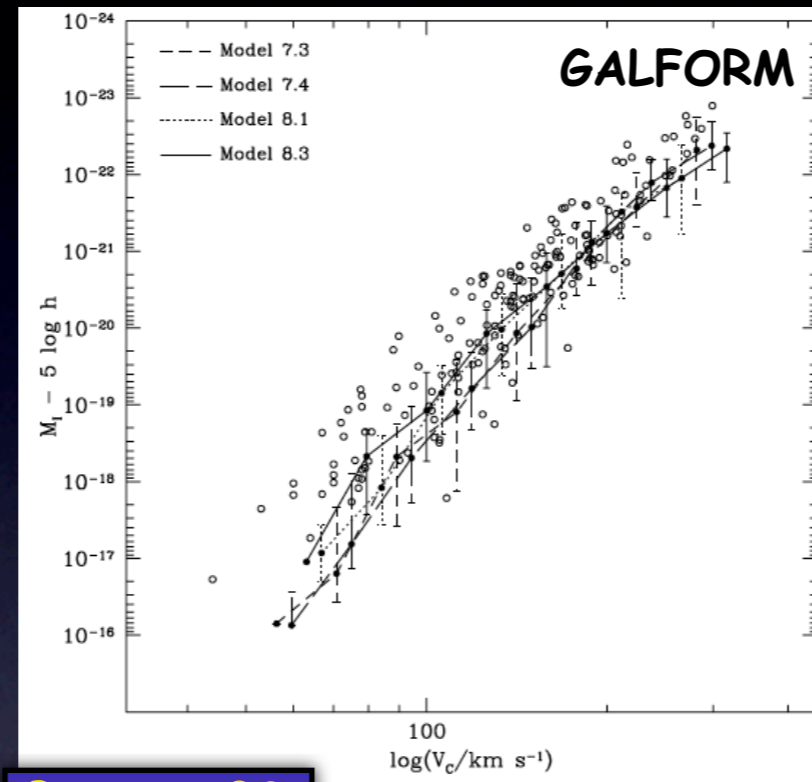
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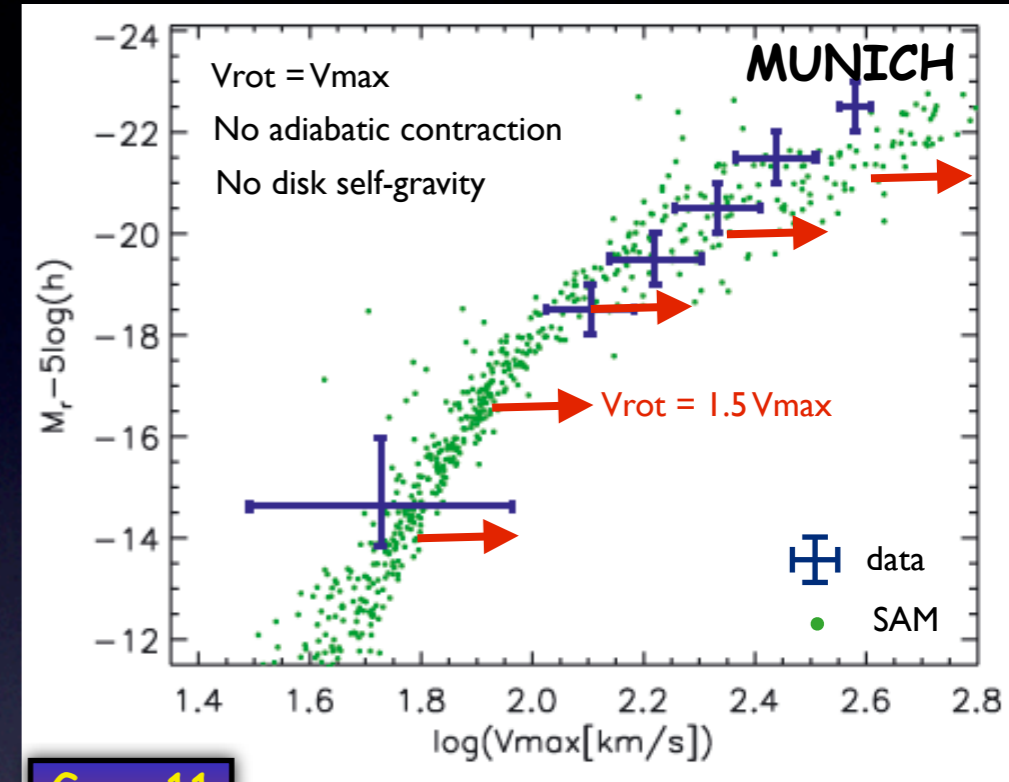
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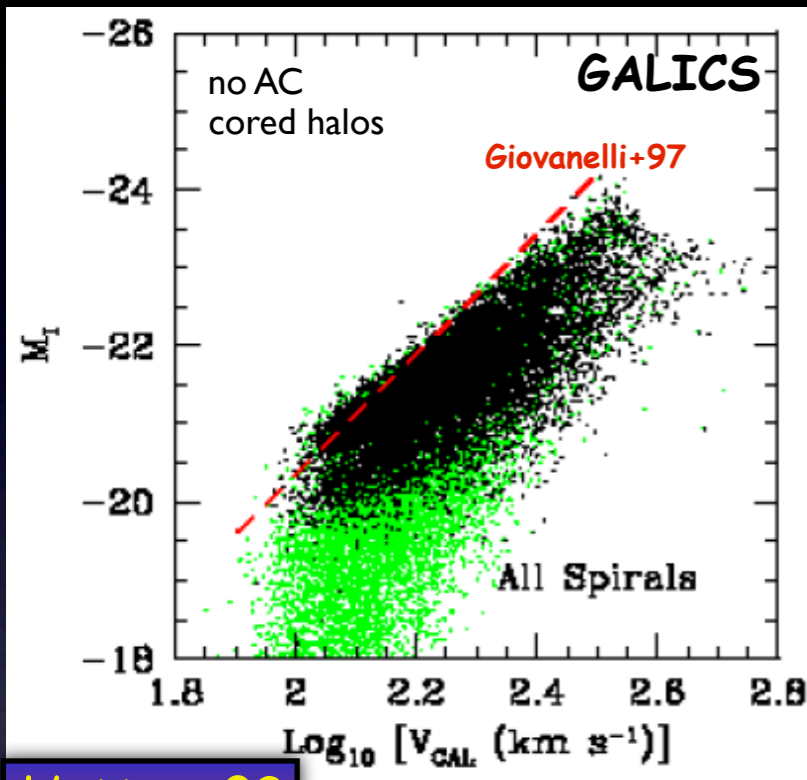
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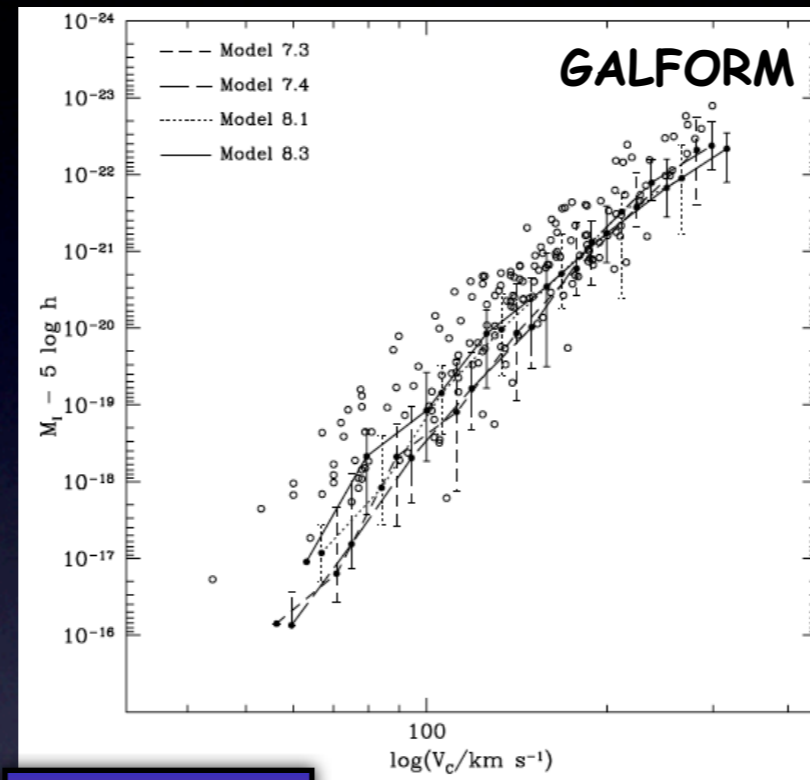
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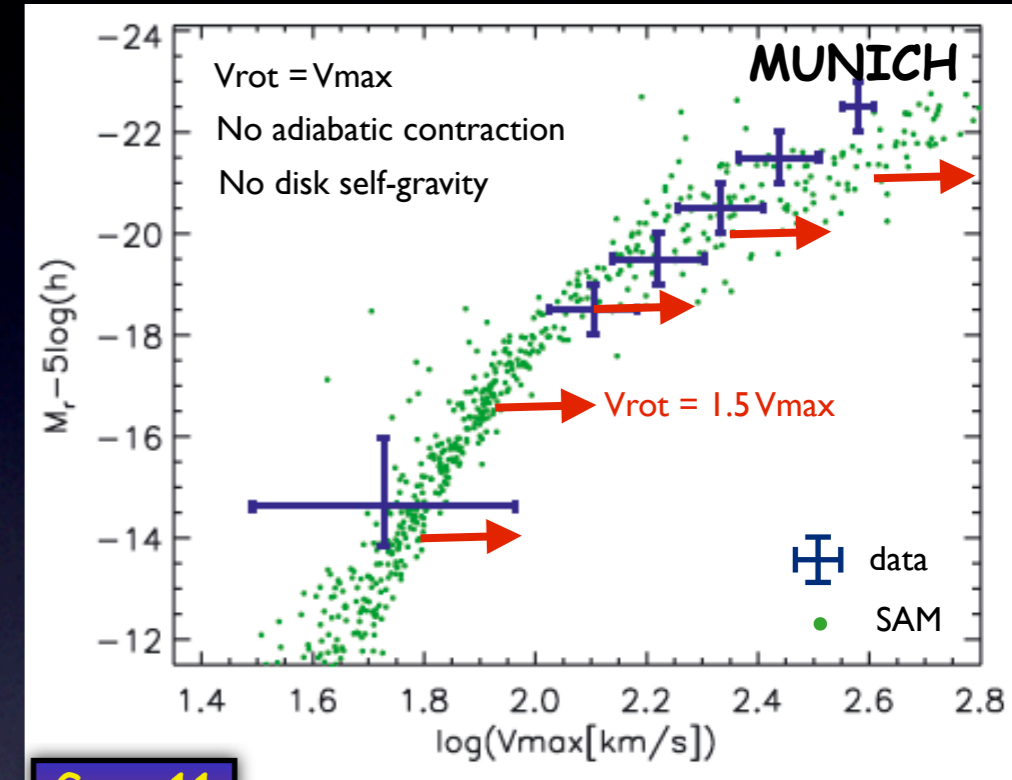
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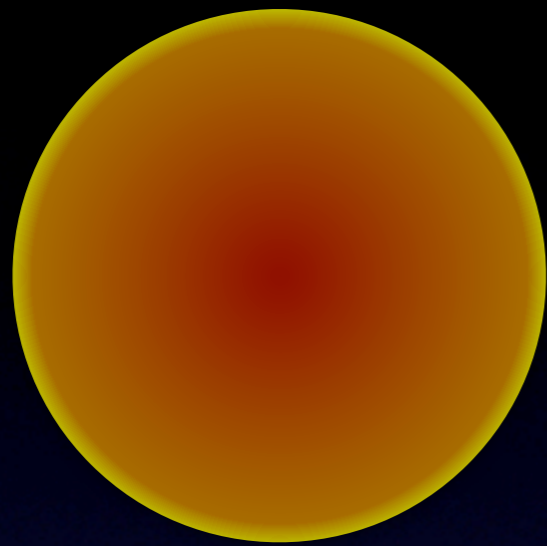
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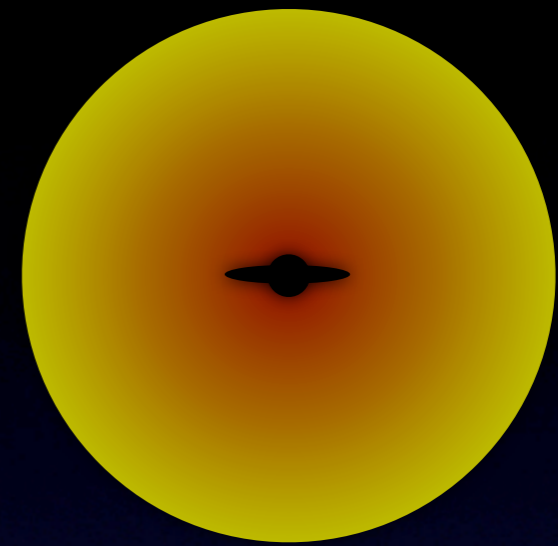
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GALACTICUS: sophisticated model including disk self-gravity and AC; "fails to predict correct sizes and velocities of disk galaxies" (Benson & Bower 2010)

Dark Halo Response



When baryons collect at center,
the dark matter halo contracts...



In the limit where the process is slow, the response is adiabatic

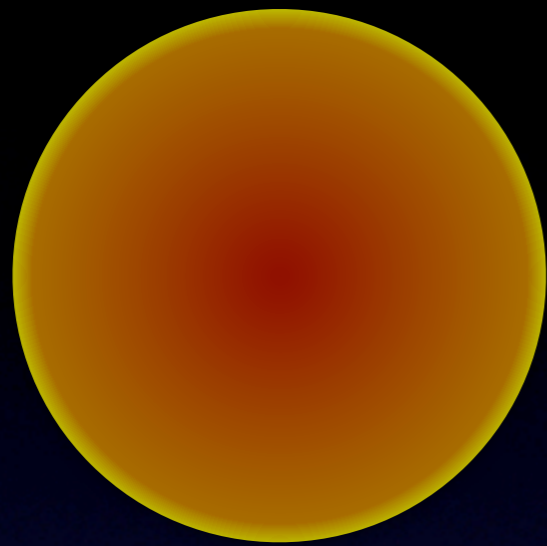
spherical symmetry: $r_i M_i(r_i) = r_f M_f(r_f)$
no shell crossing: $M_{h,i}(r_i) = M_{h,f}(r_f)$
initially well mixed: $M_{b,i}(r_i) = f_b M_{h,i}(r_i)$



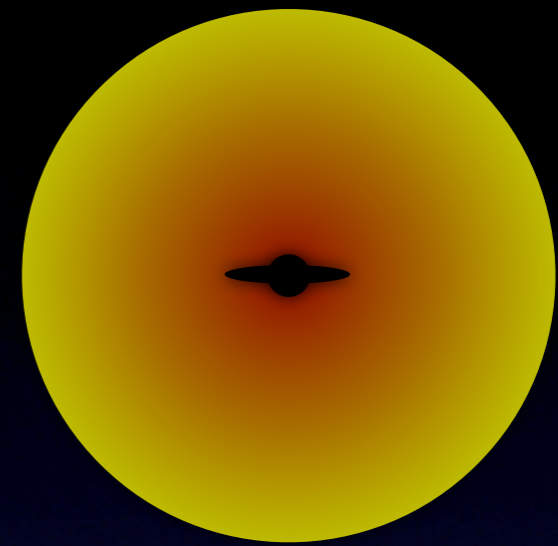
$$\frac{r_f}{r_i} = \Gamma_{AC} = \frac{M_{h,i}(r_i)}{M_{b,f}(r_f) + (1 - f_b)M_{h,i}(r_i)}$$

Blumenthal et al. (1986)

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 no shell crossing: $M_{h,i}(r_i) = M_{h,f}(r_f)$
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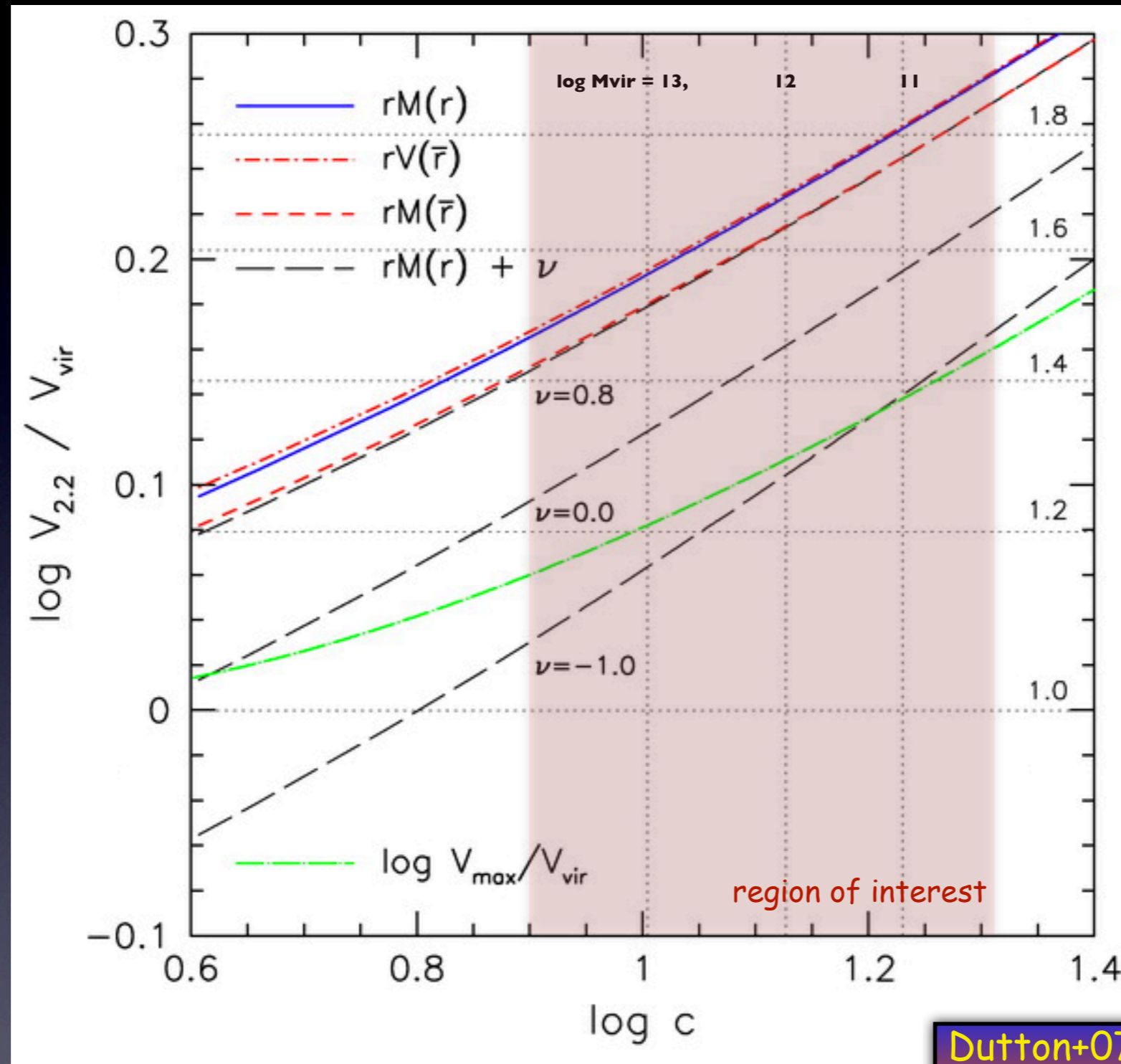
In general, system is not spherically symmetric and
 the process of galaxy formation may not be adiabatic.
 It is useful to adopt the more general form:

$$\frac{r_f}{r_i} = \Gamma_{AC}^\nu$$

Here ν is a free parameter, to be constrained by the data: $\left\{ \begin{array}{l} \nu = 1 \text{ standard AC} \\ \nu = 0 \text{ no contraction} \\ \nu < 0 \text{ expansion} \end{array} \right.$

[Based on hydro-simulations, *Gnedin+04* suggest $\nu \simeq 0.8$, while *Abadi+10* find $\nu \simeq 0.4$]

The Optical-to-Virial Velocity Ratio

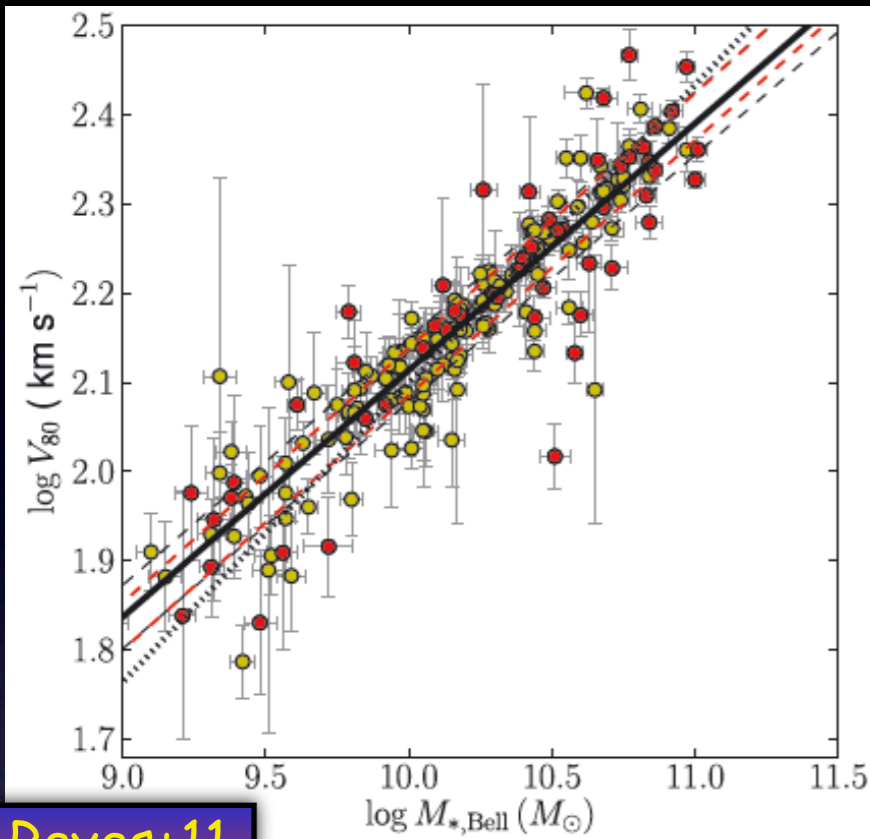


$$M^*/M_h = 0.05$$

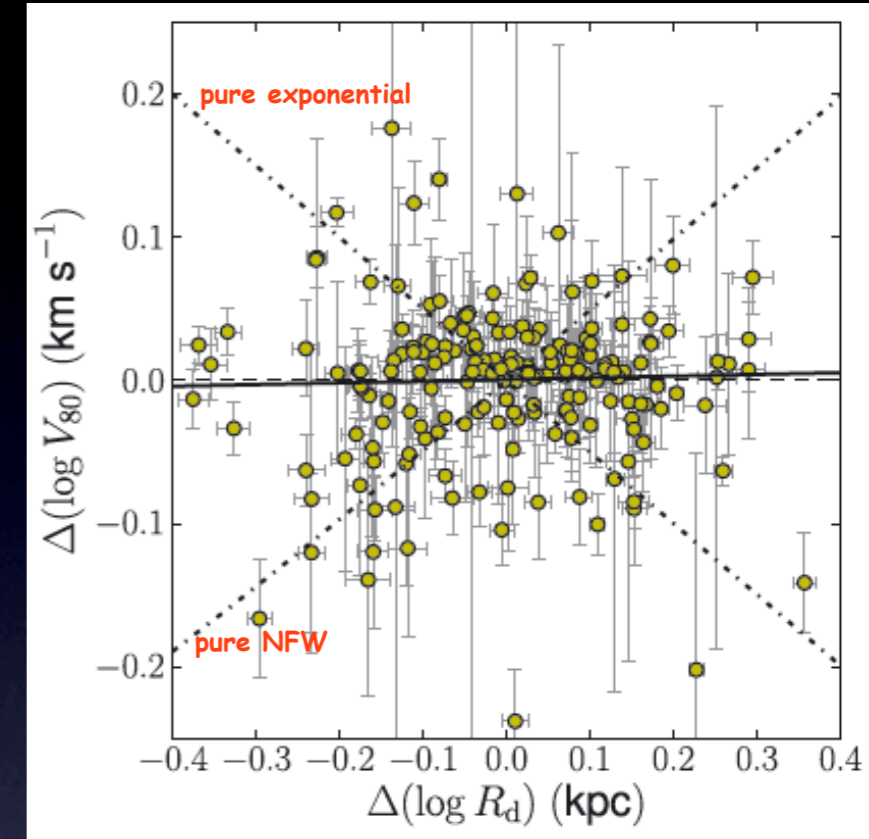
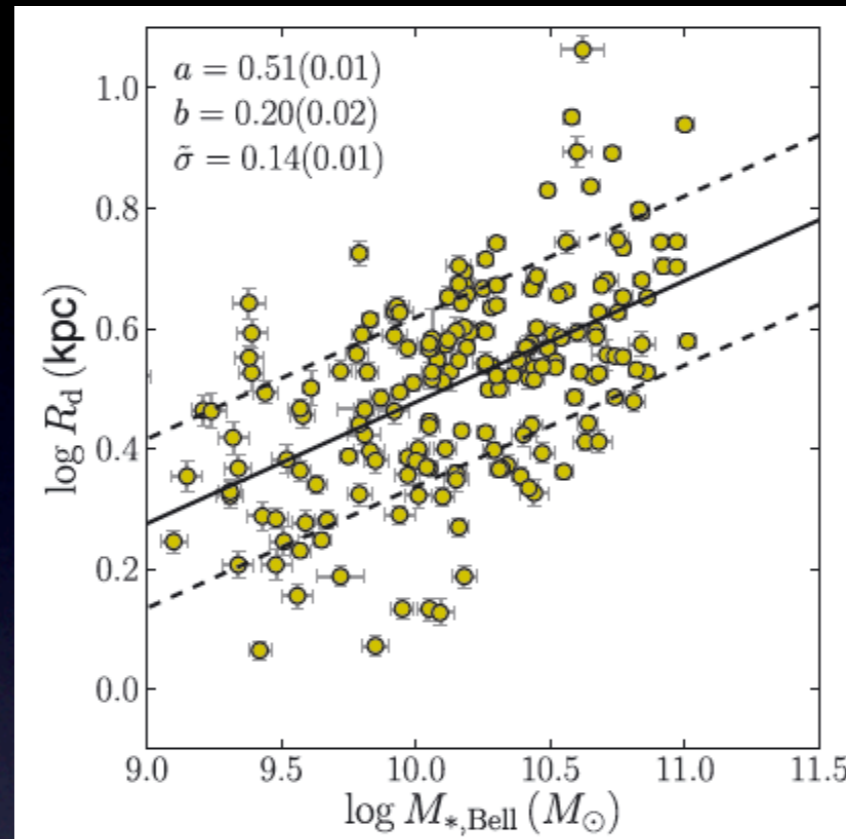
$$\lambda_{gal} = 0.048$$

NOTE: assuming $V_{2.2} = V_{max}$ is equivalent to assuming halo expansion

Disk Galaxy Scaling Relations

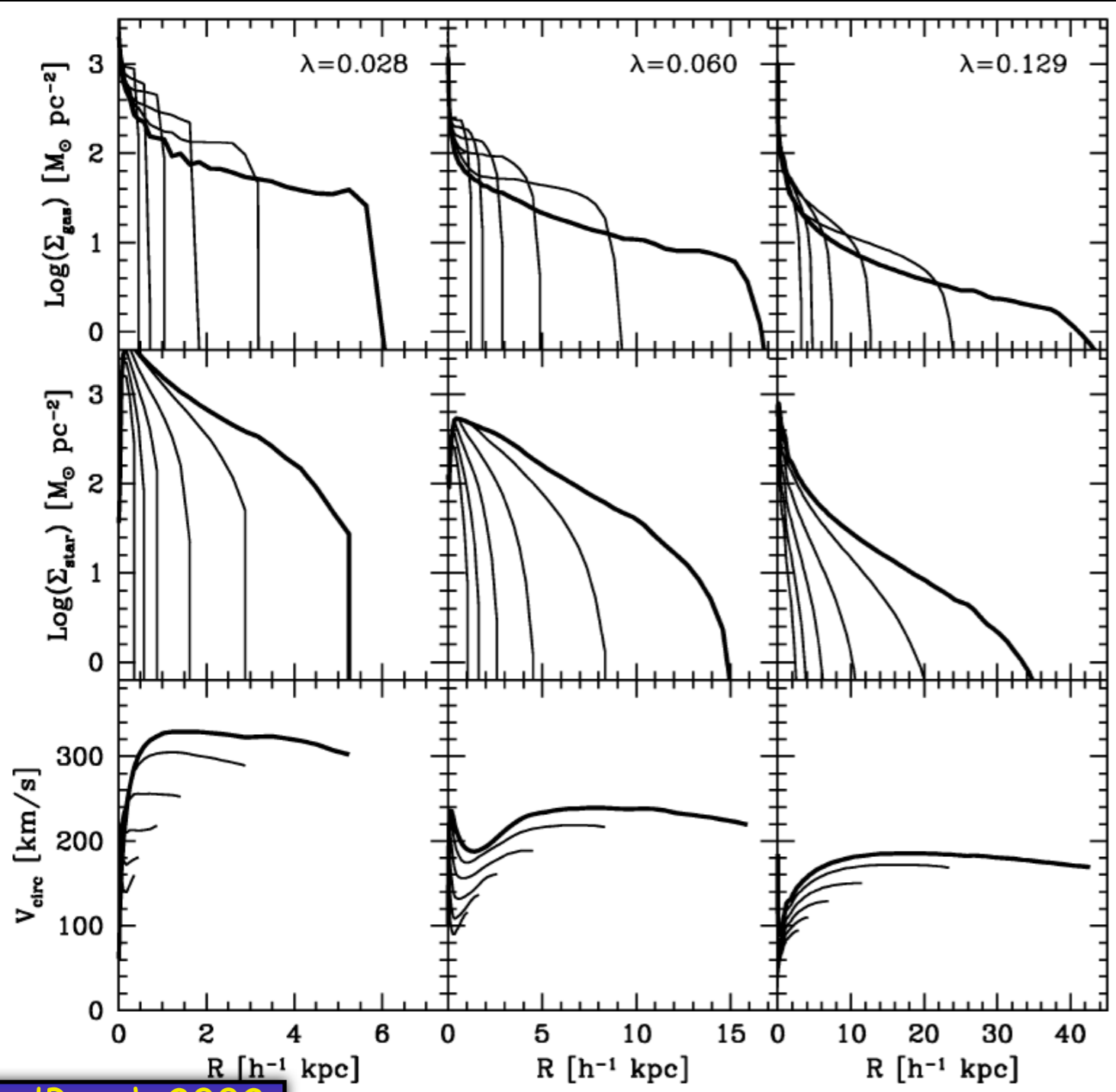


Reyes+11



- TFR has min. scatter (0.036 ± 0.005 dex) when using $M_{*,\text{Bell}}$ and V_{80} (Reyes et al. 2011)
- The velocity-mass (TF) and size-mass residuals are uncorrelated; this constrains the contribution of the disk to the measured rotation velocity (Courteau & Rix 1999)
- When using M_{bar} and R_{bar} , instead of M_* and R_* , the slope of the residual correlation is -0.15 . Hence, R_{bar} is a third parameter in the baryonic TFR (Avila-Reese et al. 2008)

Model Predictions



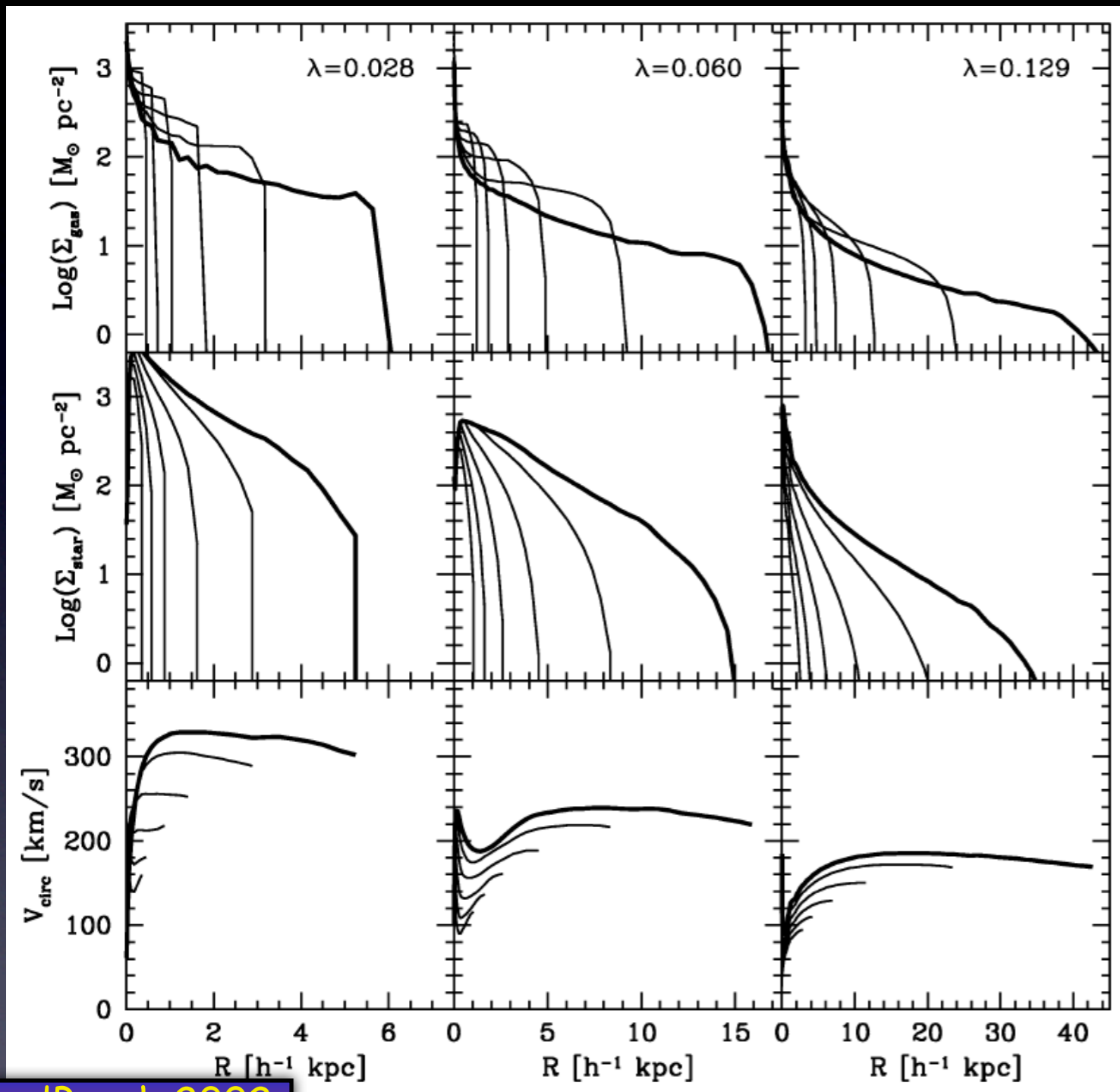
Naive prediction:

If haloes of same mass yield disk galaxies of same M_* , then scatter in spin parameter can yield large scatter in V_{rot} . This scatter is anti-correlated with disk size....

vdBosch 2002

NOTE: model assumes flat LCDM cosmology with $\sigma_8=1$ and no feedback (illustration only)

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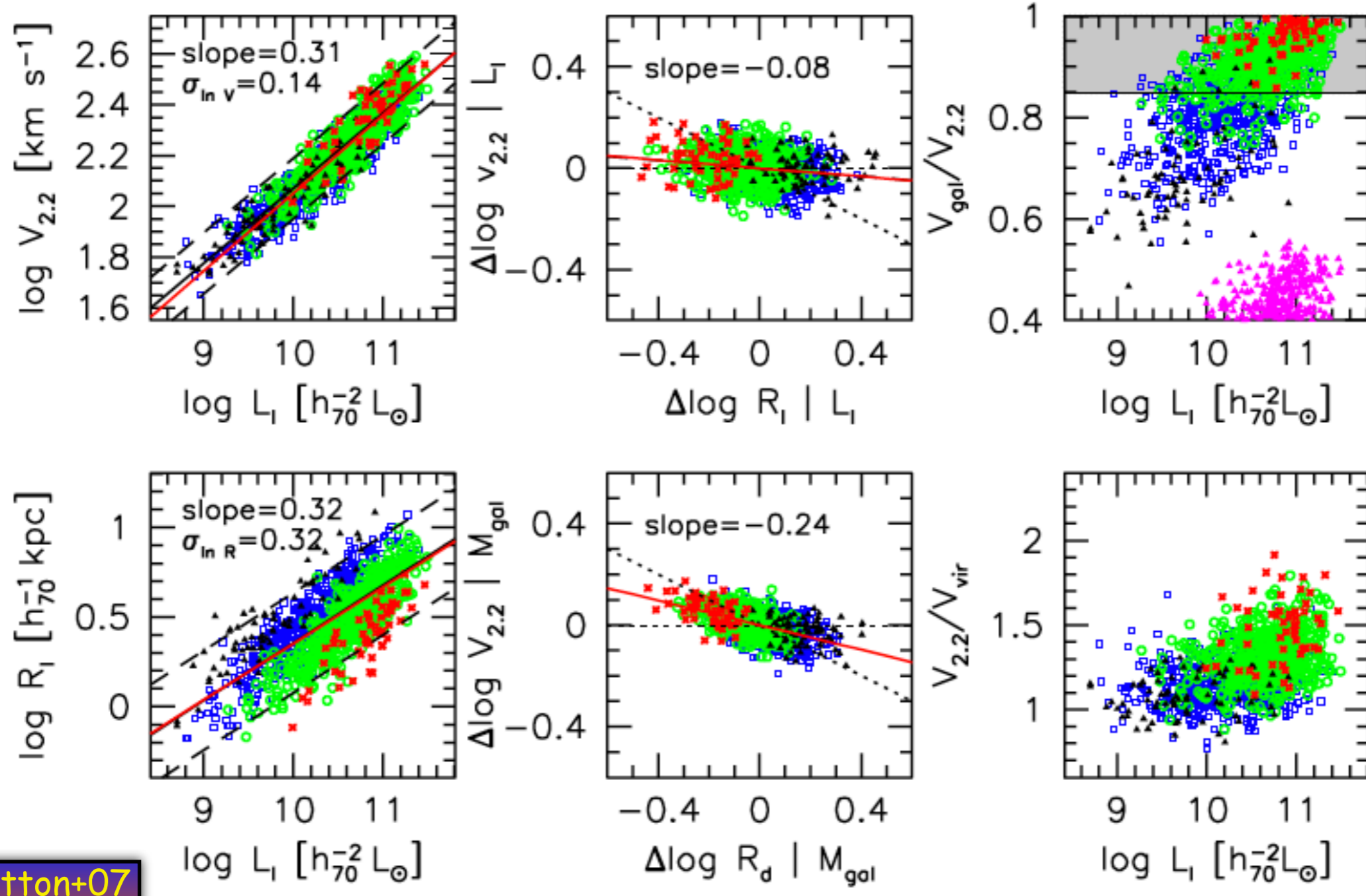
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Ways out:

- M_* is correlated with spin parameter. Natural outcome of SF threshold
Firmani & Avila-Reese (2000)
van den Bosch (2000)
- Self-gravity of disk is reduced (add feedback)
- Adiabatic contraction does not happen or is counter-acted Dutton et al. (2007)

Towards a Working Model...



Dutton+07

Matching data requires halo expansion ($v = -1$) and low spin parameters ($\lambda_{\text{gal}} \approx \lambda_{\text{halo}}/2$)
 Note that this model predicts a significant correlation in the residual plot for the baryonic relations, which has since been confirmed by Avila-Reese+08.

Independent Determination of $V_{\text{opt}}/V_{\text{vir}}$

- Use satellite kinematics (or other methods) to infer $M^* - M_h$ relation.
- Convert halo mass to V_{vir} .
- Use stellar mass TFR to convert stellar mass to V_{opt} .
- This yields $V_{\text{opt}}/V_{\text{vir}}$ as function of M^*

[Dutton+10, Reyes+12]

Satellite Kinematics

We use satellite kinematics in the SDSS to probe the relation between stellar mass and halo mass. Using virial equilibrium and spherical collapse:

$$\sigma^2 \propto \frac{GM_h}{r_h} \quad M_h \propto r_h^3 \quad \sigma \propto M_h^{1/3}$$

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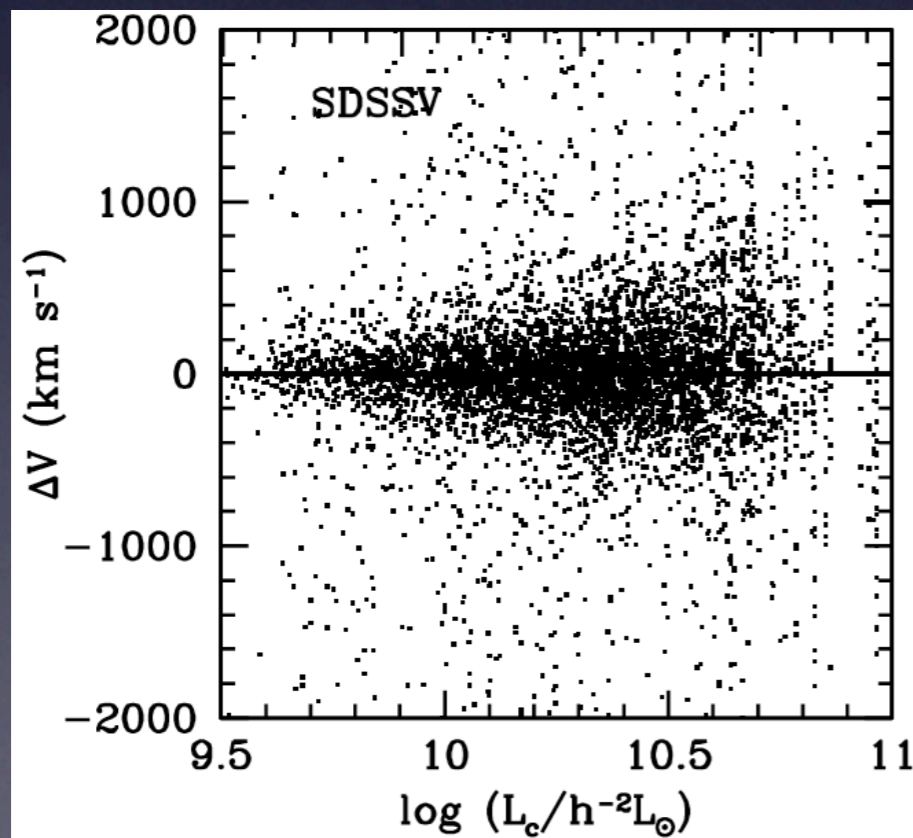
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On average, only ~ 2 satellites per central: \longrightarrow **stacking**

- select centrals and satellites from SDSS
- using redshifts, measure $\Delta V = V_{\text{sat}} - V_{\text{cen}}$ as function of M_*



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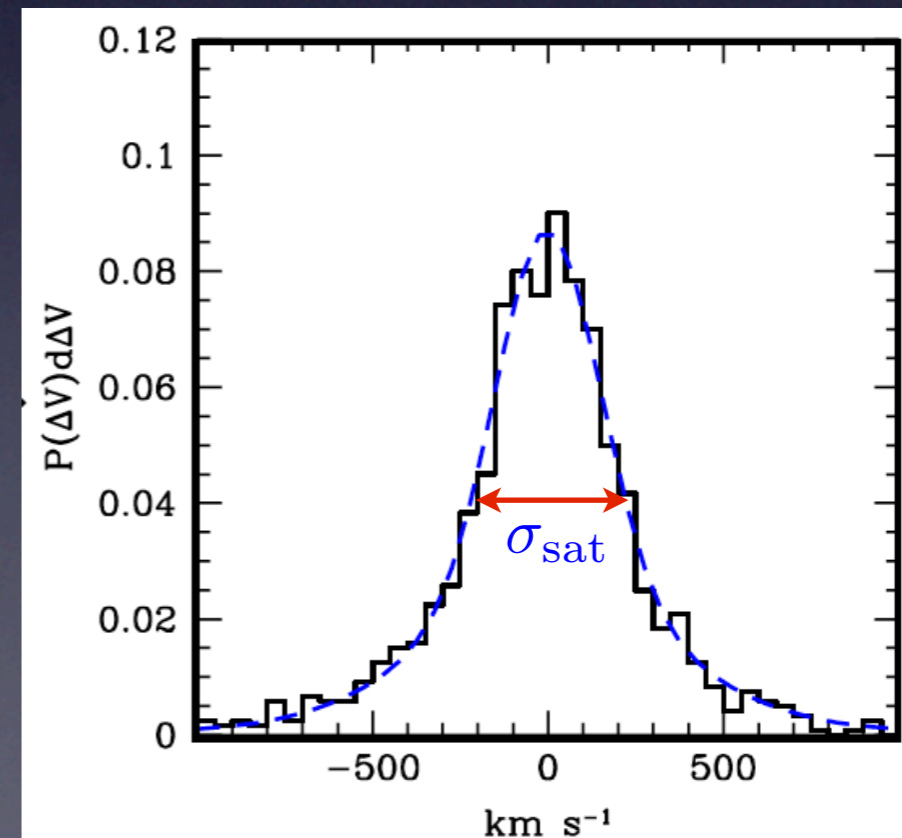
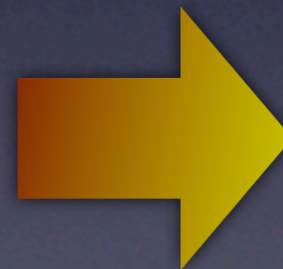
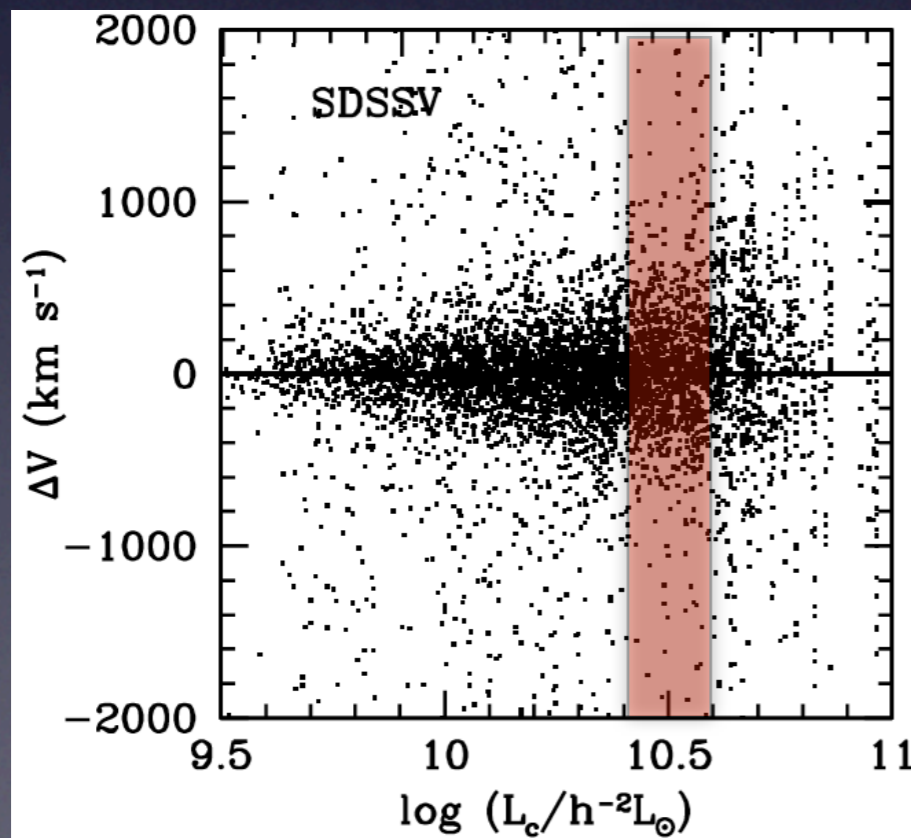
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Satellite Kinematics

Unless $P(M_h|M_*)$ is a Dirac Delta function, stacking implies combining haloes of different masses. Consequently, distinguish two schemes:

satellite weighting:

$$\sigma_{\text{sw}}^2(M_*) = \frac{\int P(M_h|M_*) \langle N_s|M_h \rangle \sigma_{\text{sat}}^2(M_h) dM_h}{\int P(M_h|M_*) \langle N_s|M_h \rangle dM_h}$$

host weighting:

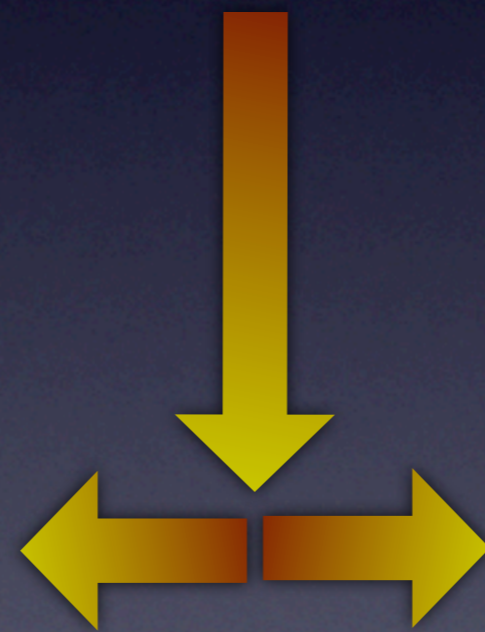
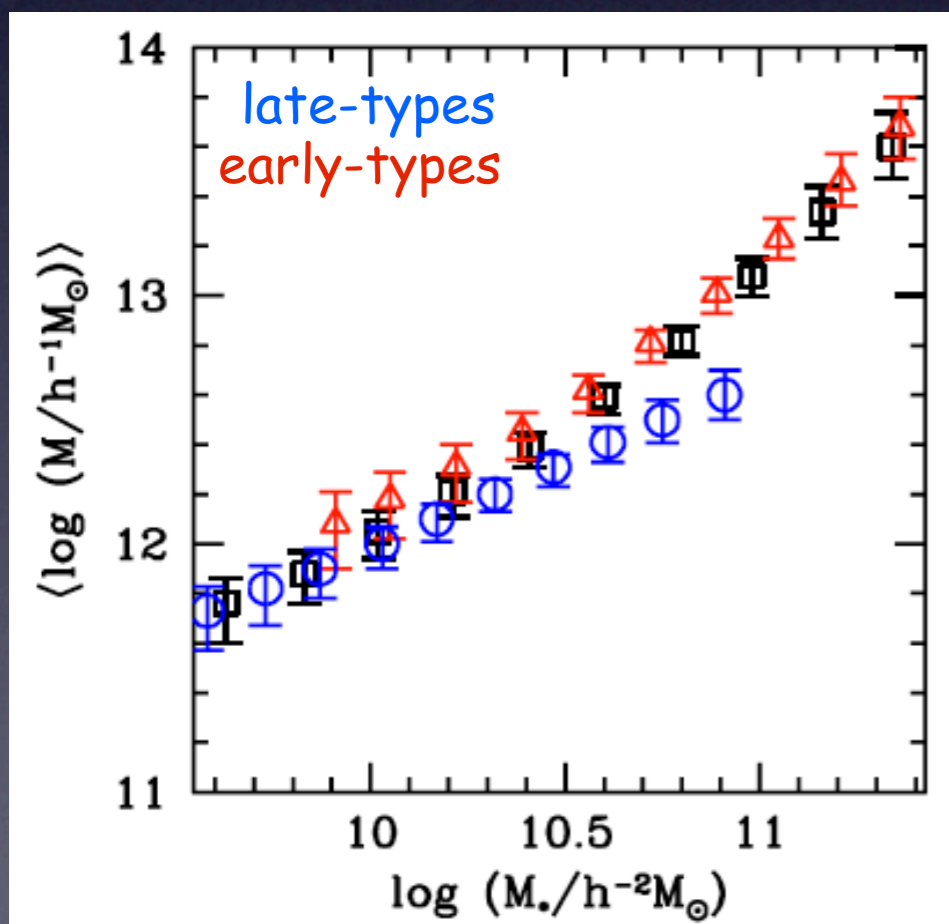
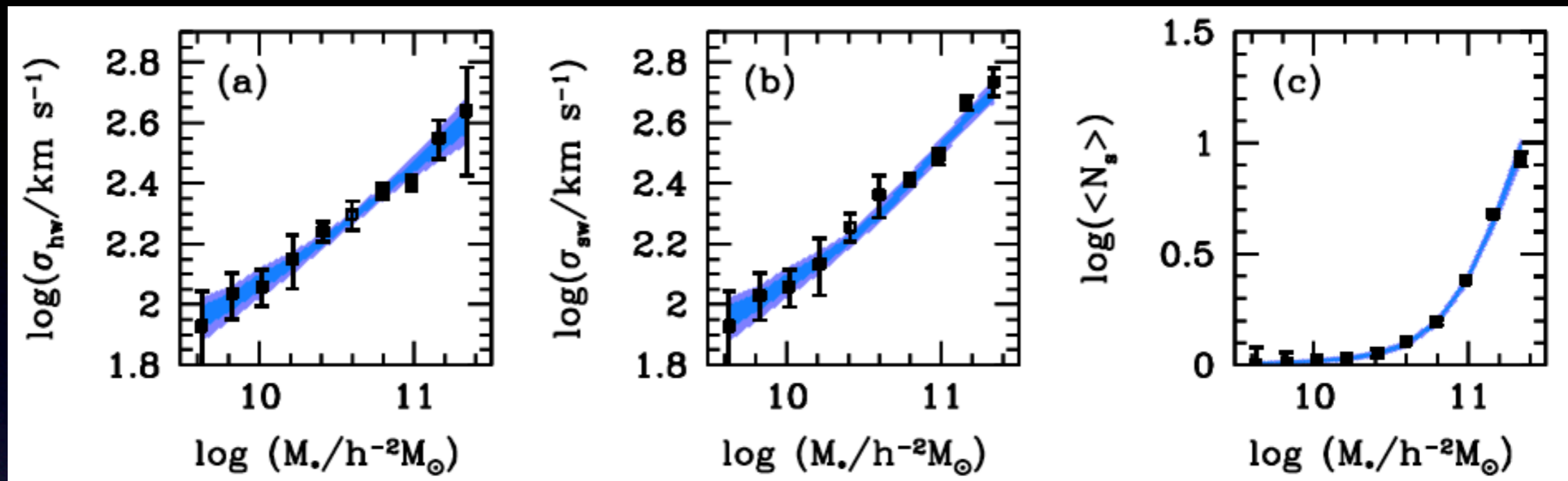
$$\sigma_{\text{hw}}^2(M_*) = \frac{\int P(M_h|M_*) \sigma_{\text{sat}}^2(M_h) dM_h}{\int P(M_h|M_*) dM_h}$$

satellites per host:

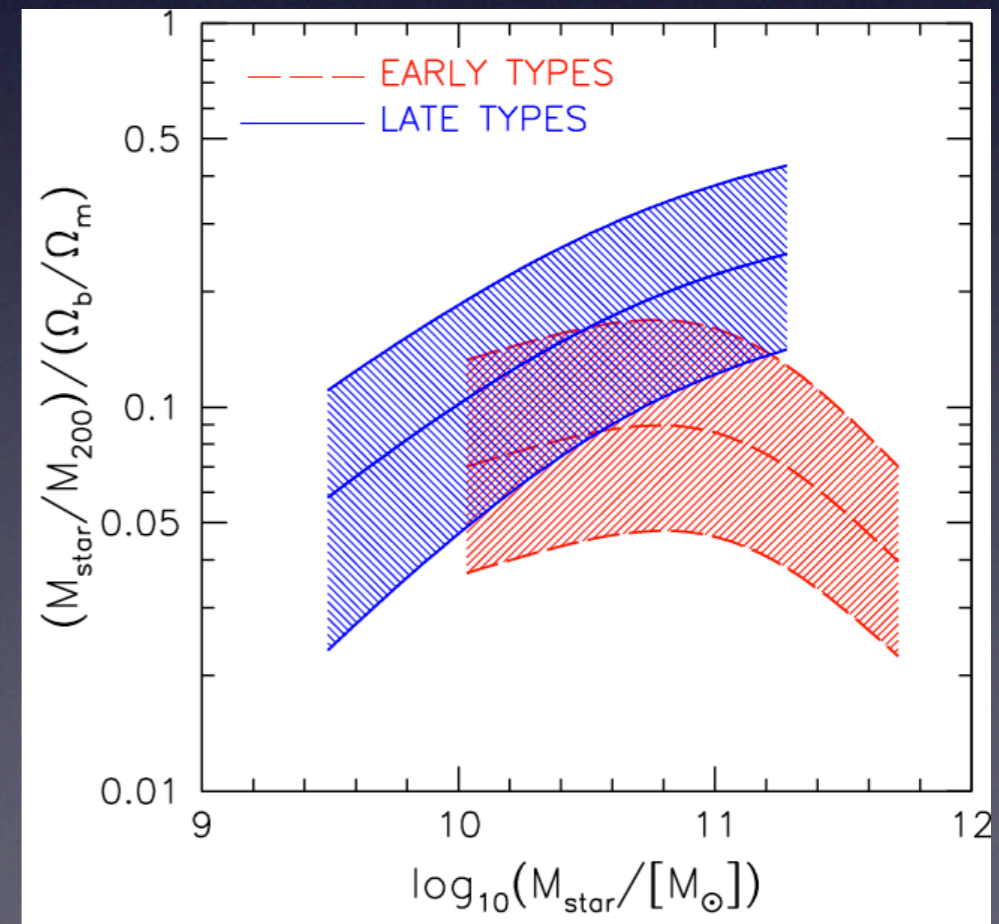
$$\langle N_{\text{sat}} \rangle(M_*) = \frac{\int P(M_h|M_*) \langle N_s|M_h \rangle dM_h}{\int P(M_h|M_*) dM_h}$$

From the measurements of $\sigma_{\text{sw}}^2(M_*)$, $\sigma_{\text{hw}}^2(M_*)$, and $\langle N_{\text{sat}} \rangle(M_*)$ one can determine $P(M_h|M_*)$.

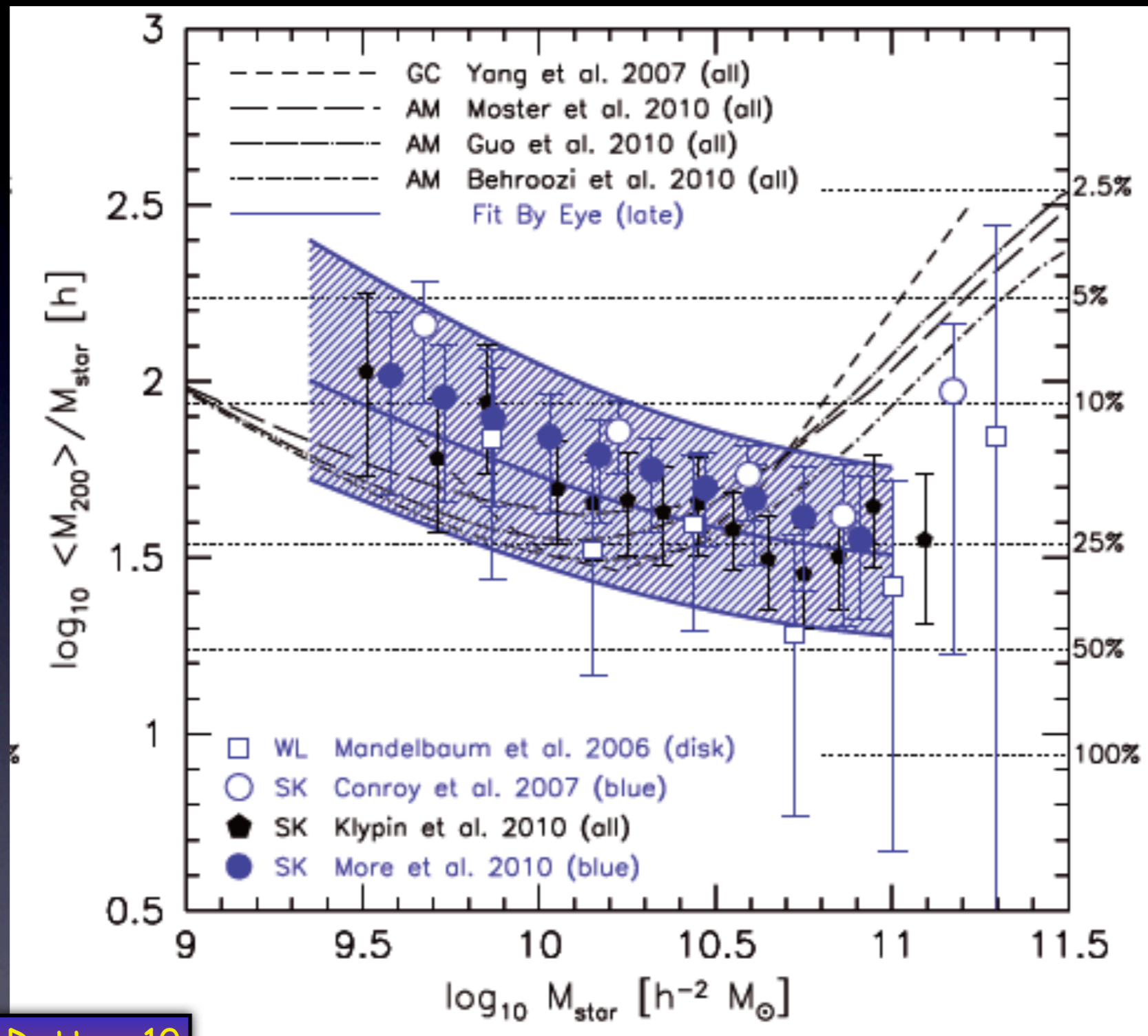
Satellite Kinematics: results



based on ~6300 satellites around ~3800 centrals
[More et al. 2011]

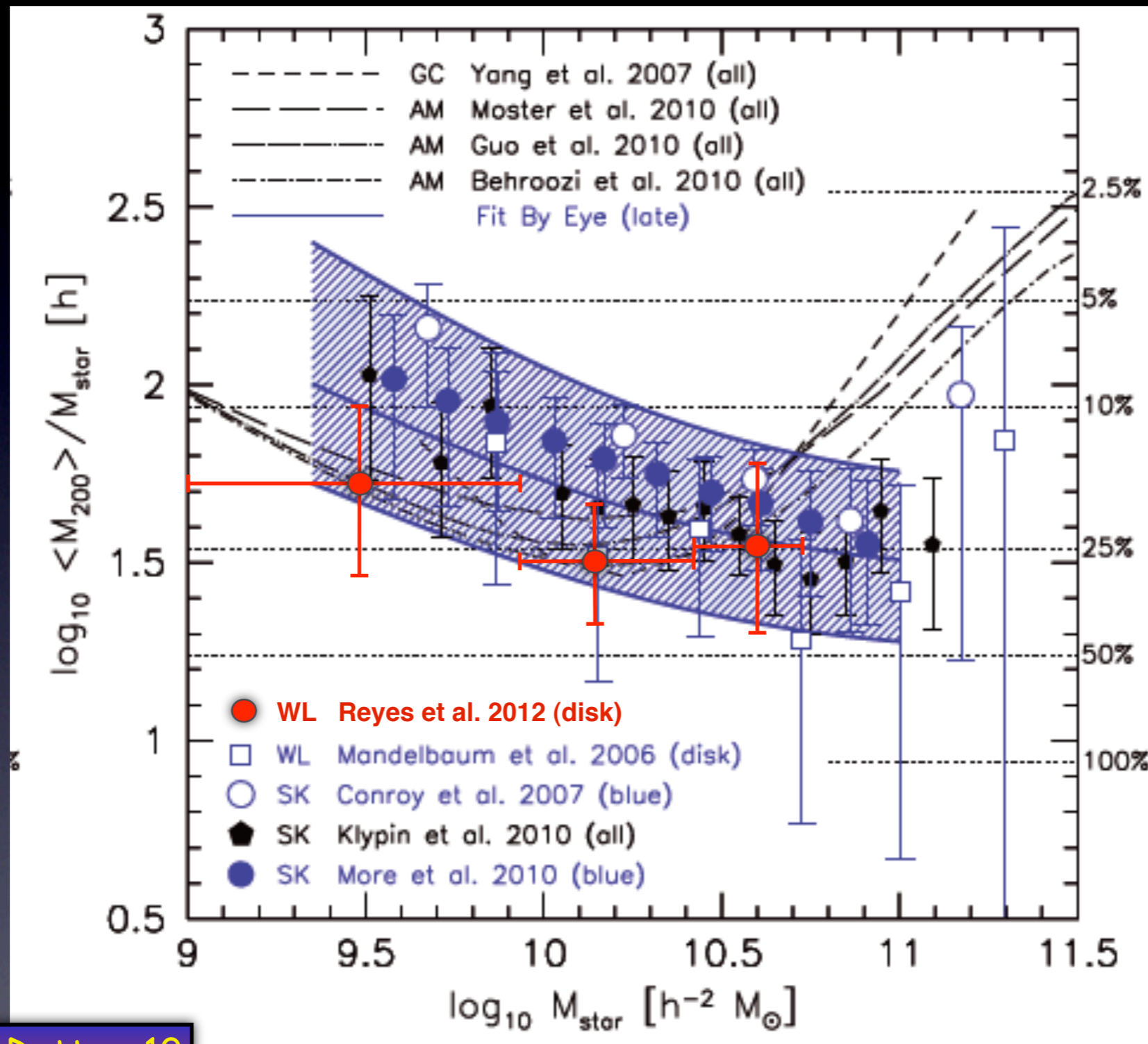


The Stellar Mass - Halo Mass Relation



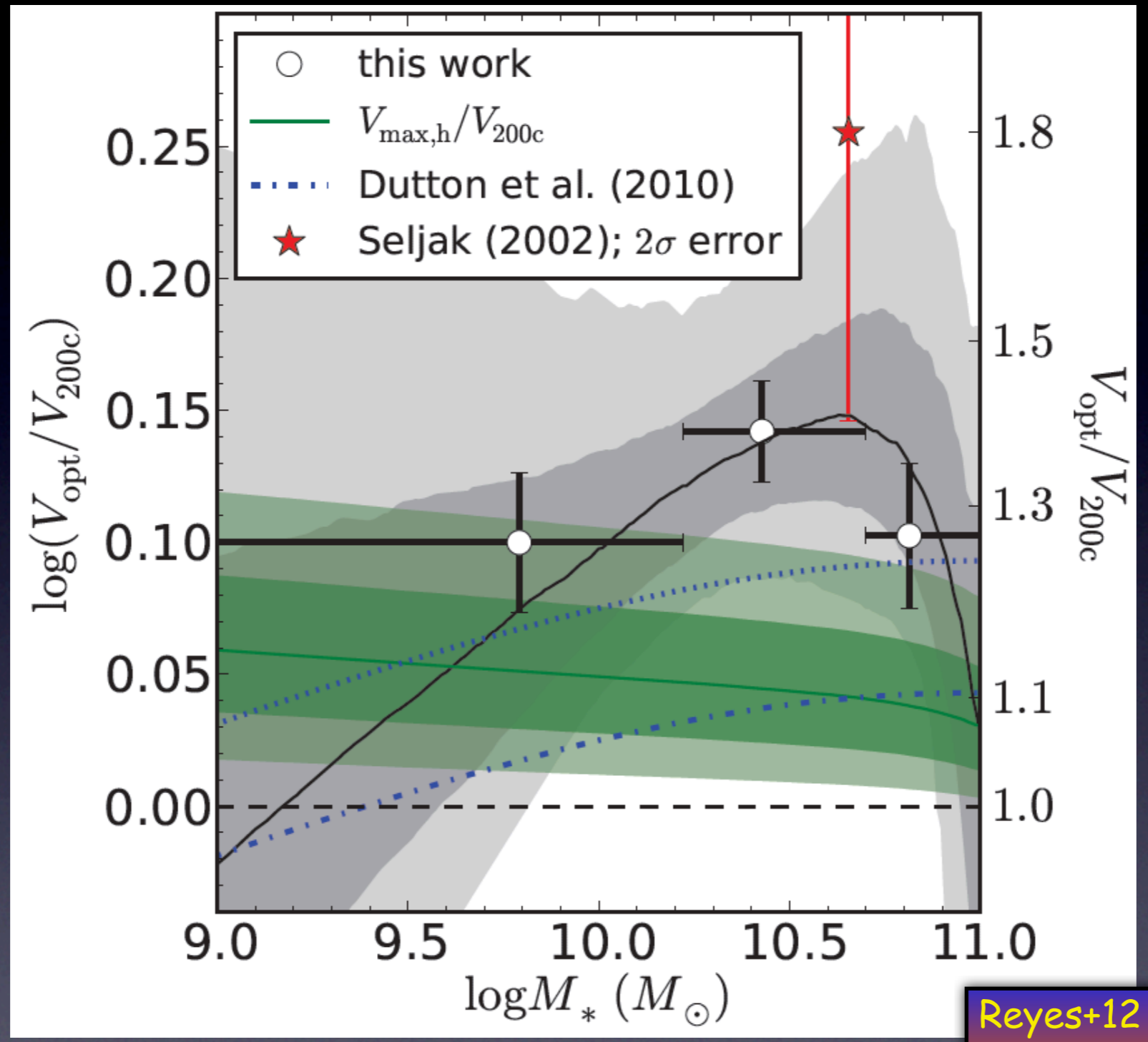
Dutton+10

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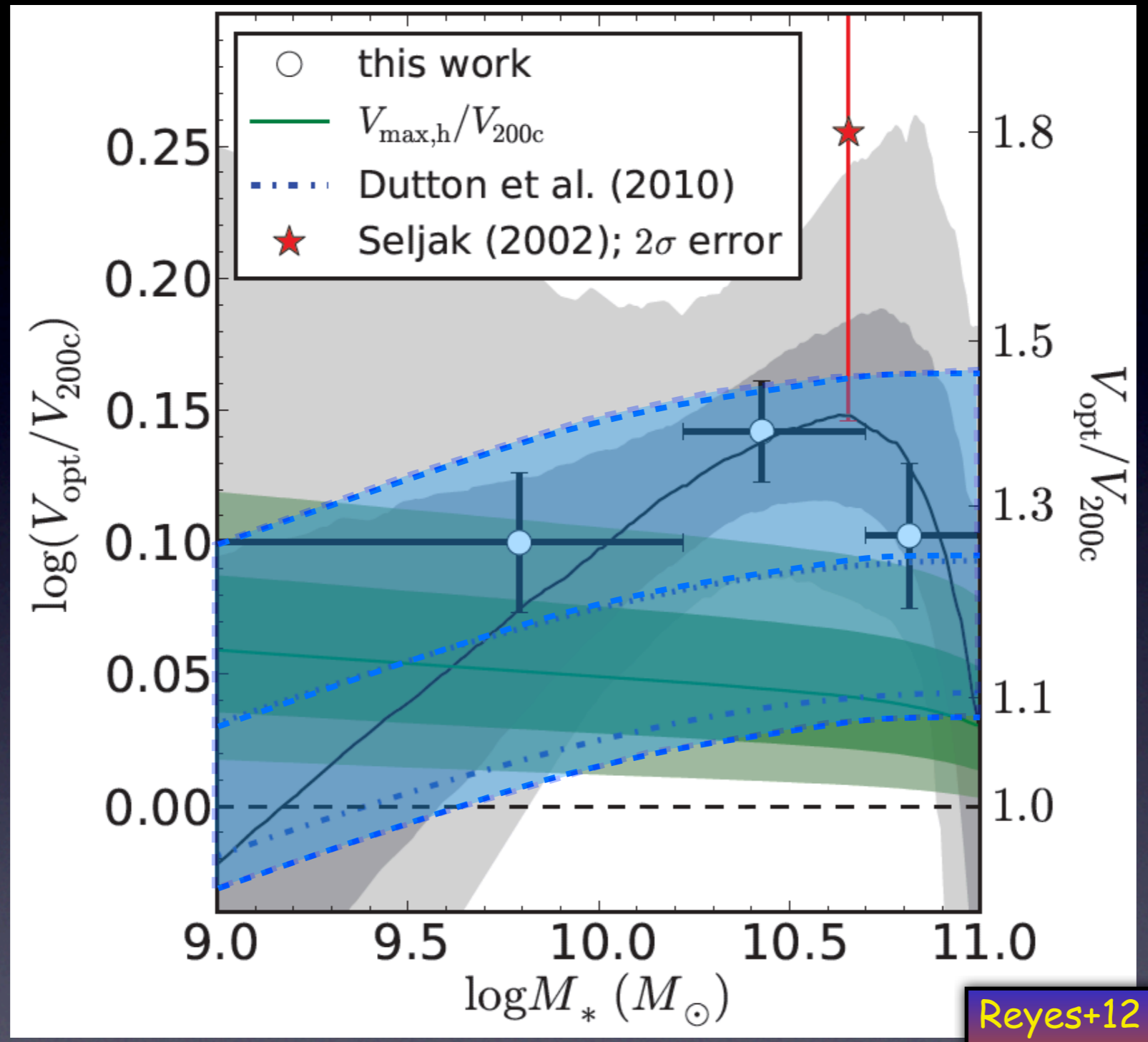
Optical-to-Virial Velocities



Different analyses agree with each other at 2σ -level: $1.0 < V_{\text{opt}}/V_{200c} < 1.5$

Error bars still too large to place firm constraints: dominated by errors on M^*/M_{vir}

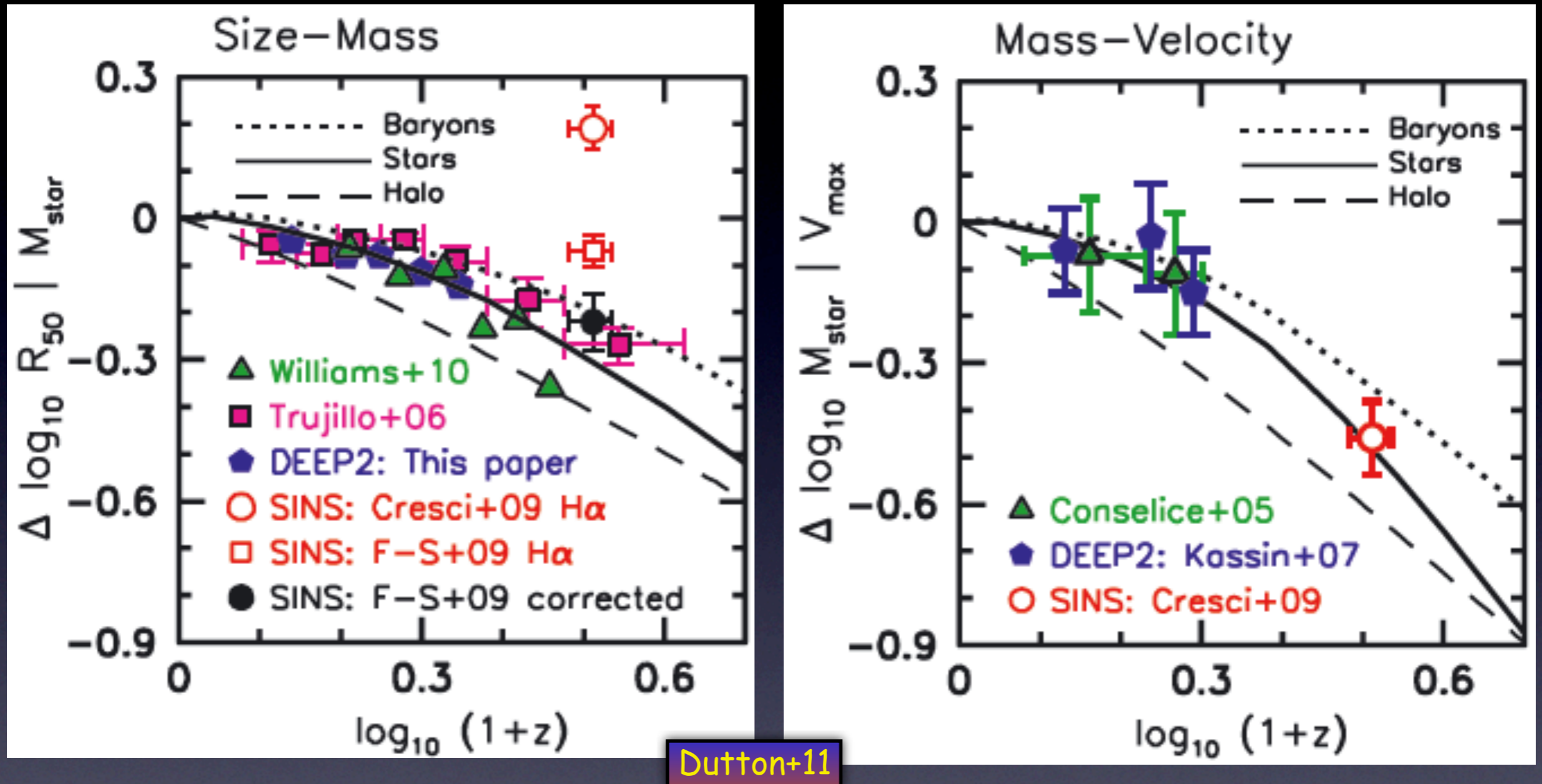
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Redshift Evolution



The 'standard' picture of disk formation can nicely explain the evolution in the scaling relations of disk galaxies. See also [Firmani & Avila-Reese \(2009\)](#)

Scaling relations & angular momentum

Structure of disk galaxies is governed by their angular momentum distribution

In 'standard model', this angular momentum arises from cosmological torques, and is conserved during cooling...

As shown by Mo, Mao & White (1998), in this case one has that

$$R_d = \frac{1}{\sqrt{2}} \lambda \left(\frac{j_d}{m_d} \right) R_{\text{vir}} F_R^{-1} F_E^{-1/2}$$

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halo spin
parameter

halo
profile

adiabatic
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halo spin parameter halo profile adiabatic contraction

But what about j_d/m_d (almost always assumed to be unity) ???

j_d : fraction of angular momentum that ends up in disk

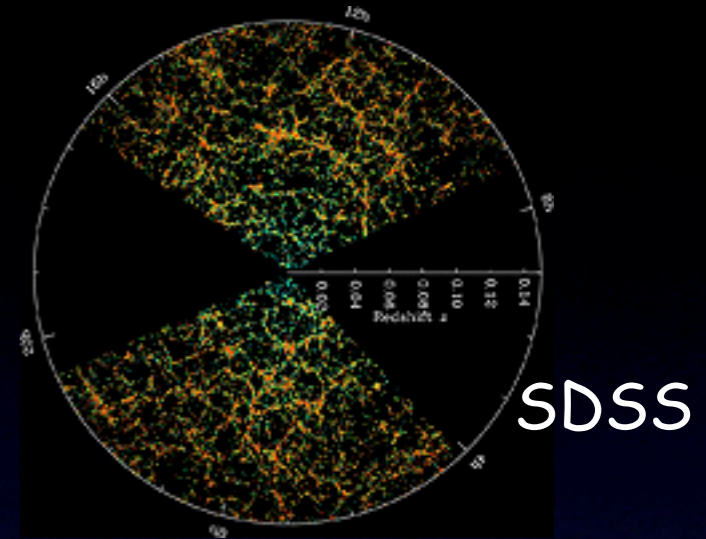
m_d : fraction of baryonic matter that ends up in disk

Methodology

Dutton+11

Observed Scaling Relations

M_h vs. M_* R_d vs. M_* B/D vs. M_*
 M_g vs. M_* R_b vs. M_* R_g vs. R_d



Model Parameters

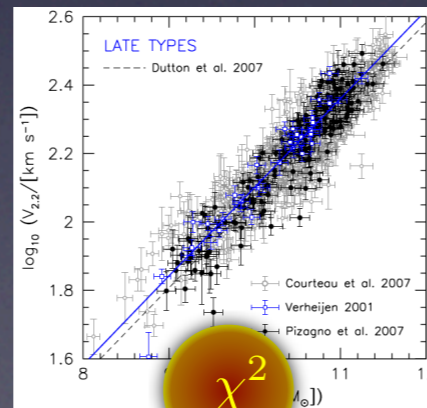
M_h, M_d, M_b, M_g
 R_d, R_b, R_g
 $\Delta_{IMF} \nu$

Rotation Curve

$$V_c(r) = \sqrt{V_h^2 + V_d^2 + V_b^2 + V_g^2}$$

Constrain

$\Delta_{IMF} \& \nu$

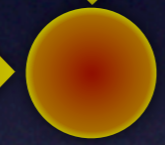
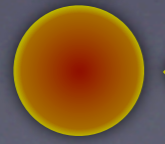


compare to data

$V_{2.2}$

Sampling of M_h

TF relation

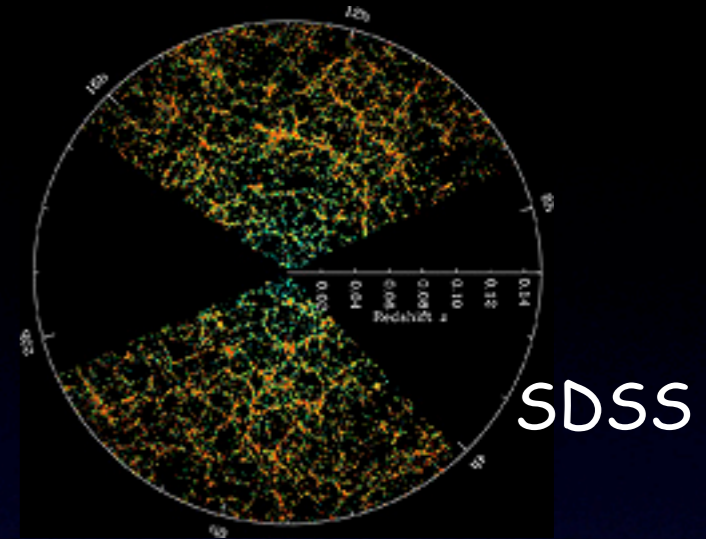


Methodology

Dutton+11

Observed Scaling Relations

M_h vs. M_* R_d vs. M_* B/D vs. M_*
 M_g vs. M_* R_b vs. M_* R_g vs. R_d



Model Parameters

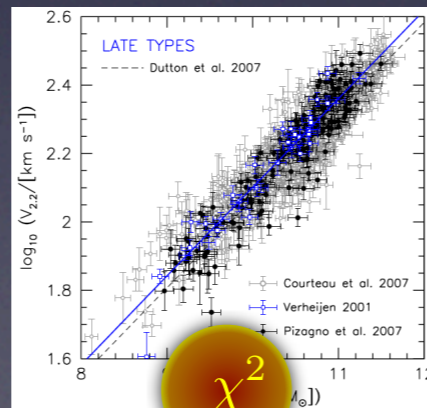
M_h, M_d, M_b, M_g
 R_d, R_b, R_g
 Δ_{IMF} ν

Rotation Curve

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Constrain

Δ_{IMF} & ν



compare to data

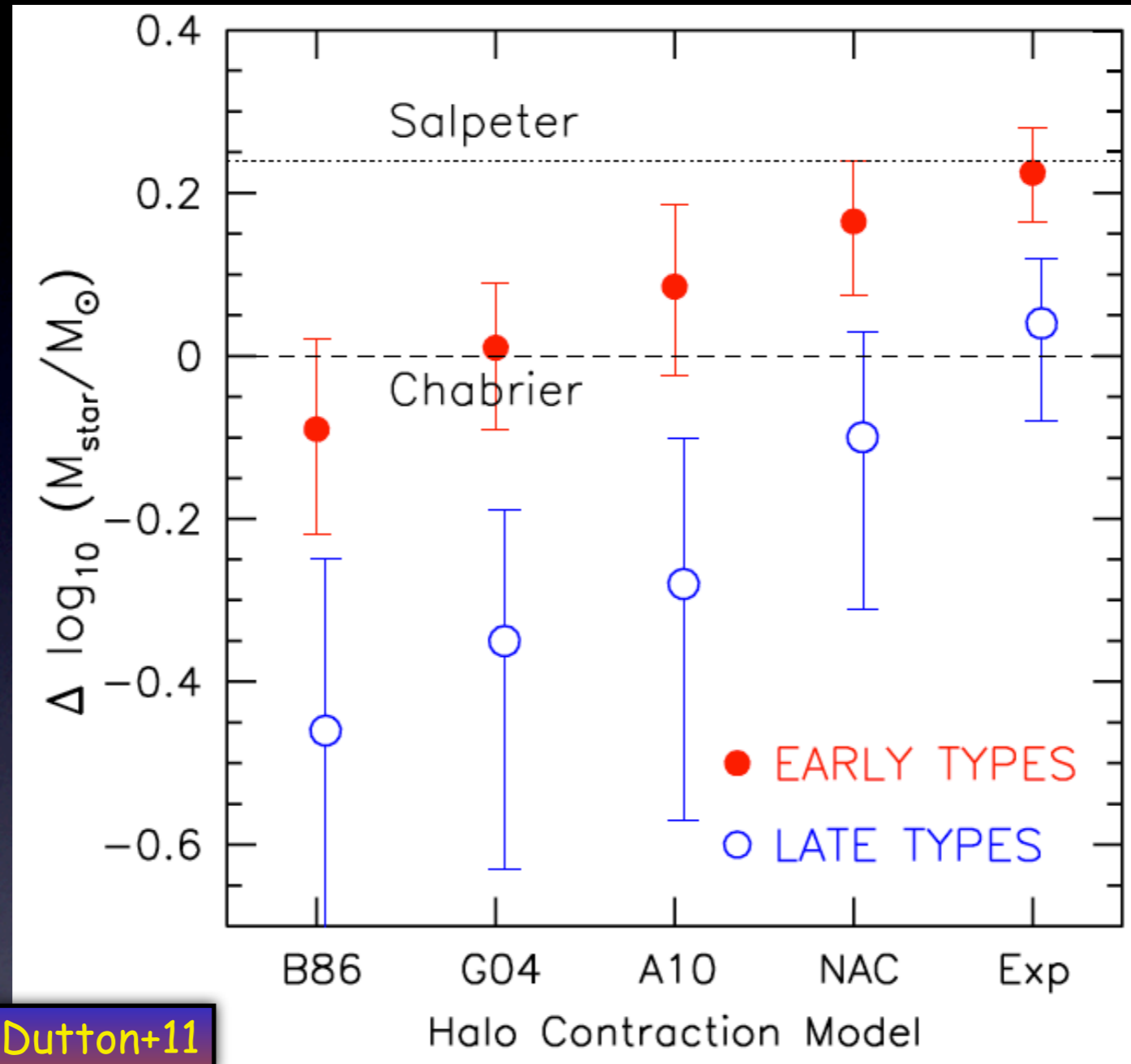
$V_{2.2}$

Sampling of M_h

TF relation

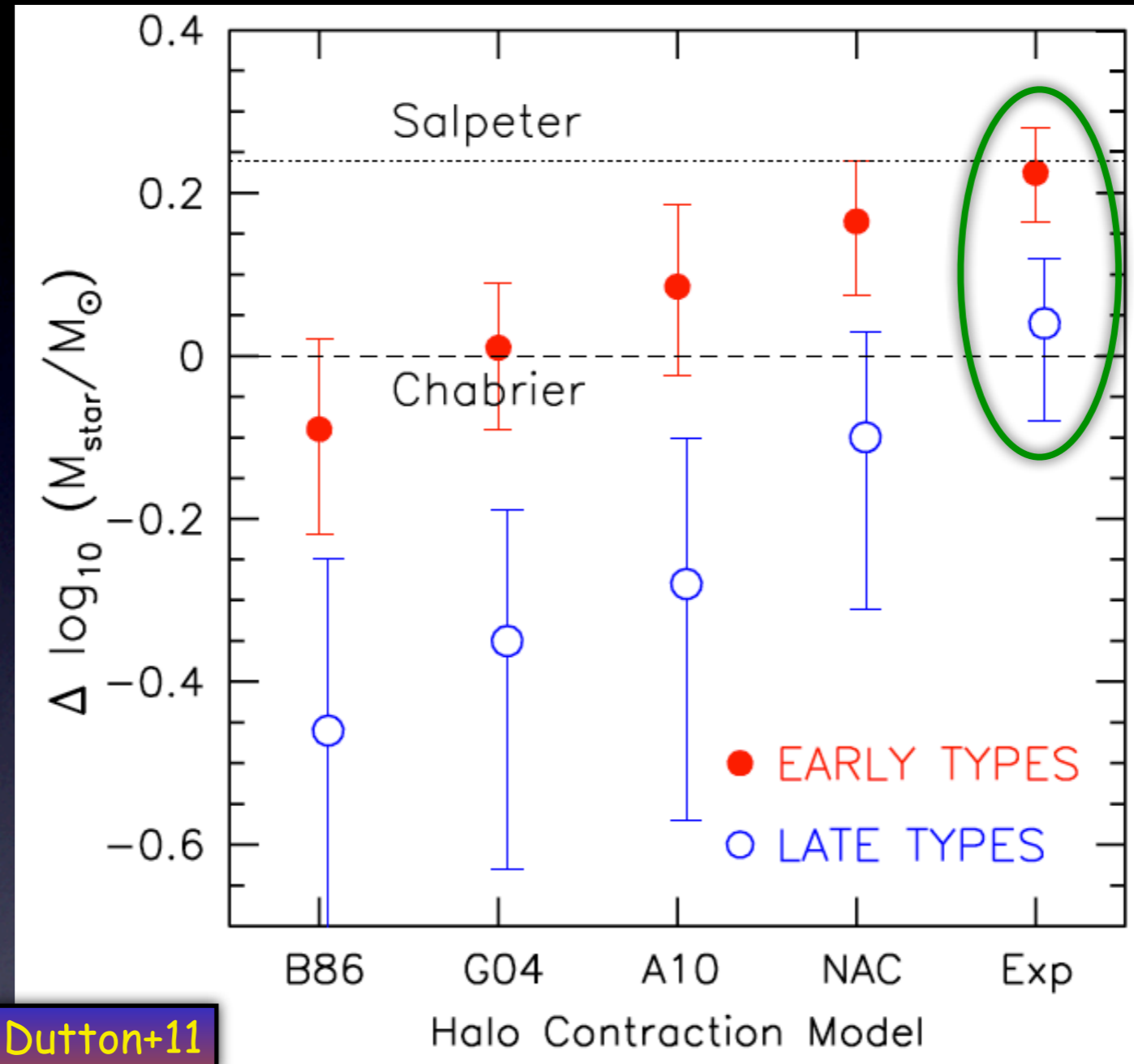
χ^2

Dark Halo Response vs. Stellar IMF



- With 'standard' adiabatic contraction (B86; $\nu=1$), the stellar IMF needs to be significantly more top-heavy than a Chabrier IMF (unrealistic).
- With Chabrier IMF, disk scaling relations suggest halo expansion...

Dark Halo Response vs. Stellar IMF



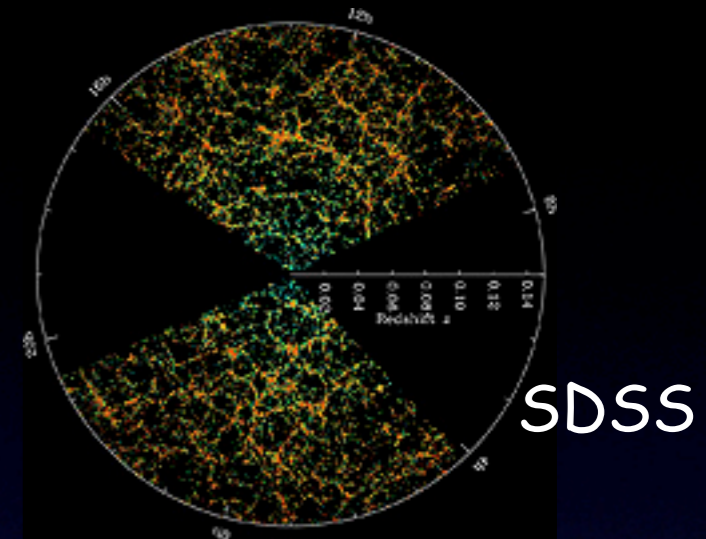
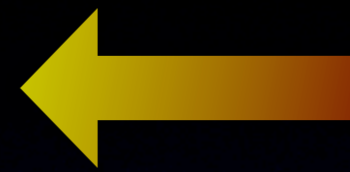
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Methodology

Dutton+vdB 2012

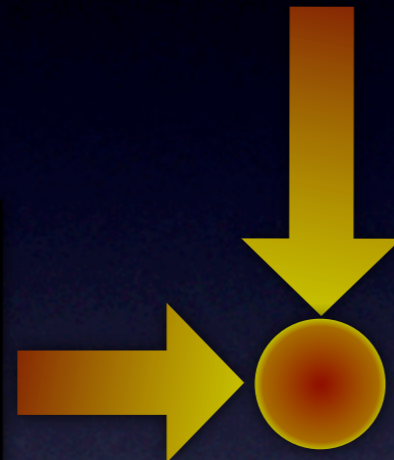
Observed Scaling Relations

M_h vs. M_* R_d vs. M_* B/D vs. M_*
 M_g vs. M_* R_b vs. M_* R_g vs. R_d



Model Parameters

M_h, M_d, M_b, M_g
 R_d, R_b, R_g
 $\Delta_{\text{IMF}} \mathcal{V}$

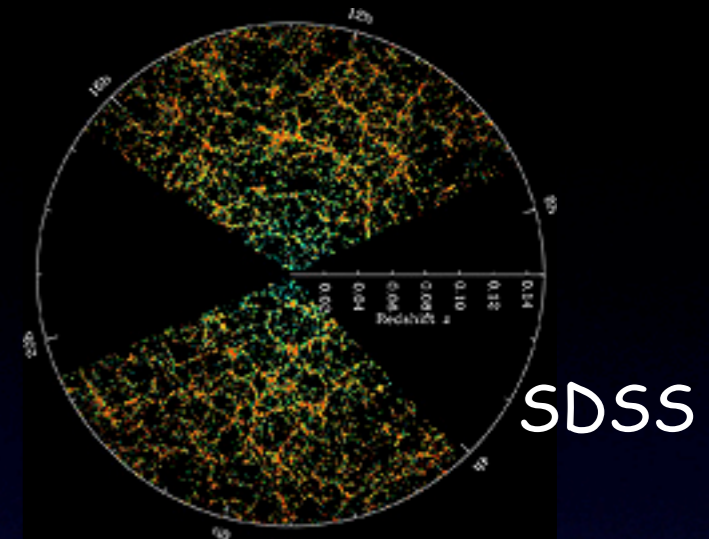


Methodology

Dutton+vdB 2012

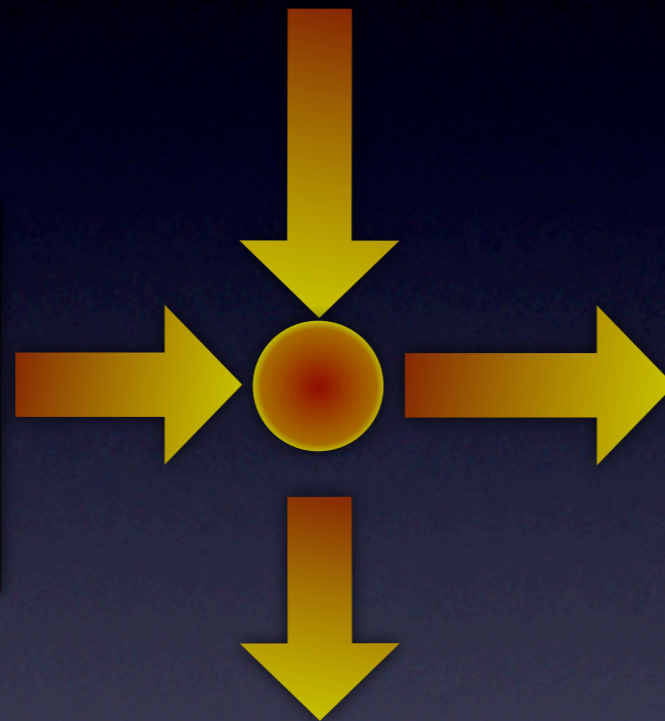
Observed Scaling Relations

M_h vs. M_* R_d vs. M_* B/D vs. M_*
 M_g vs. M_* R_b vs. M_* R_g vs. R_d



Model Parameters

M_h, M_d, M_b, M_g
 R_d, R_b, R_g
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Rotation Curve

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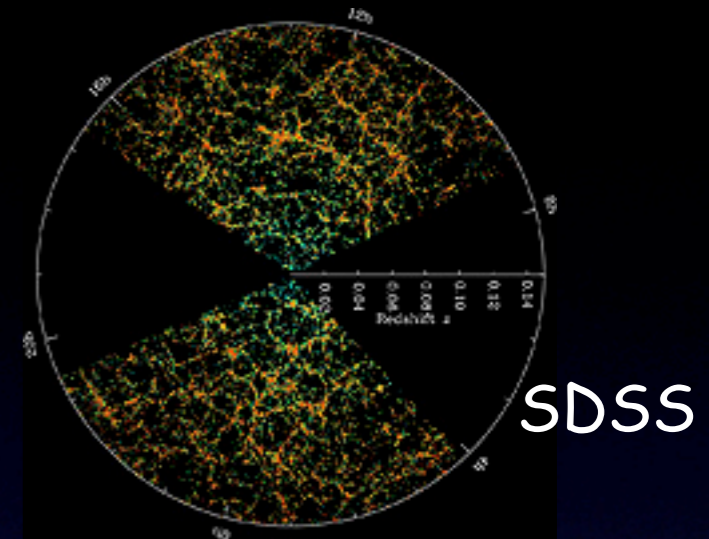
$$M_{\text{gal}}/M_{\text{vir}}$$

Methodology

Dutton+vdB 2012

Observed Scaling Relations

M_h vs. M_* R_d vs. M_* B/D vs. M_*
 M_g vs. M_* R_b vs. M_* R_g vs. R_d



Model Parameters

M_h, M_d, M_b, M_g
 R_d, R_b, R_g
 $\Delta_{\text{IMF}} \mathcal{V}$

Rotation Curve

$$V_c(r) = \sqrt{V_h^2 + V_d^2 + V_b^2 + V_g^2}$$

$$M_{\text{gal}}/M_{\text{vir}}$$

$$J_{\text{gal}}/J_{\text{vir}}$$

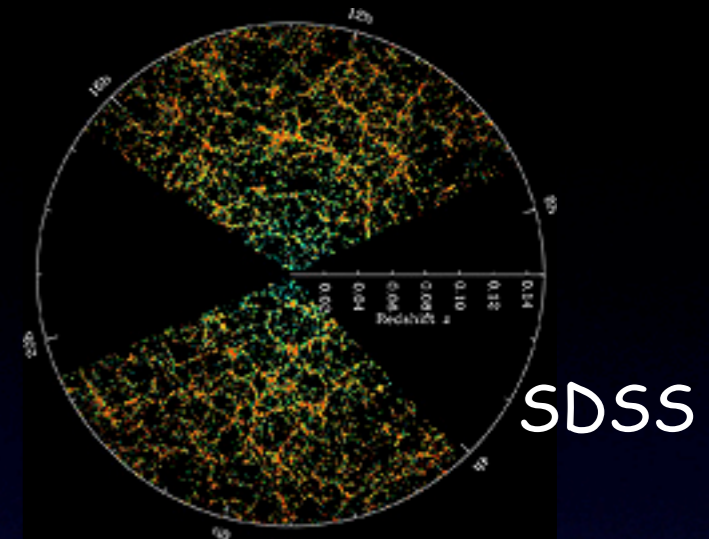
$$\lambda'_{\text{vir}} = 0.031$$

Methodology

Dutton+vdB 2012

Observed Scaling Relations

$$\begin{array}{lll}
 M_h \text{ vs. } M_* & R_d \text{ vs. } M_* & B/D \text{ vs. } M_* \\
 M_g \text{ vs. } M_* & R_b \text{ vs. } M_* & R_g \text{ vs. } R_d
 \end{array}$$



Model Parameters

$$\begin{array}{l}
 M_h, M_d, M_b, M_g \\
 R_d, R_b, R_g \\
 \Delta_{\text{IMF}} \mathcal{V}
 \end{array}$$

Rotation Curve

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$$M_{\text{gal}}/M_{\text{vir}}$$

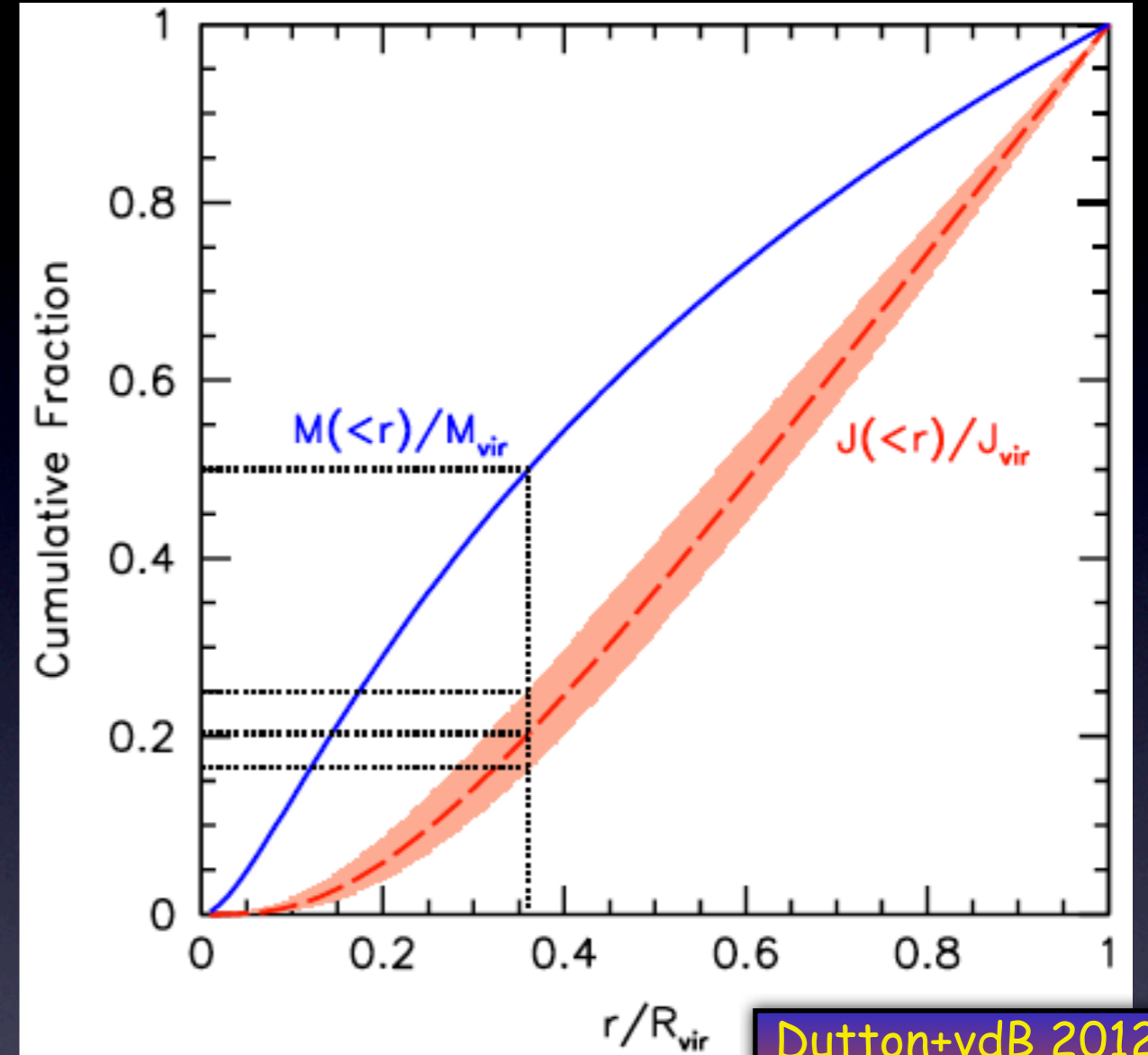
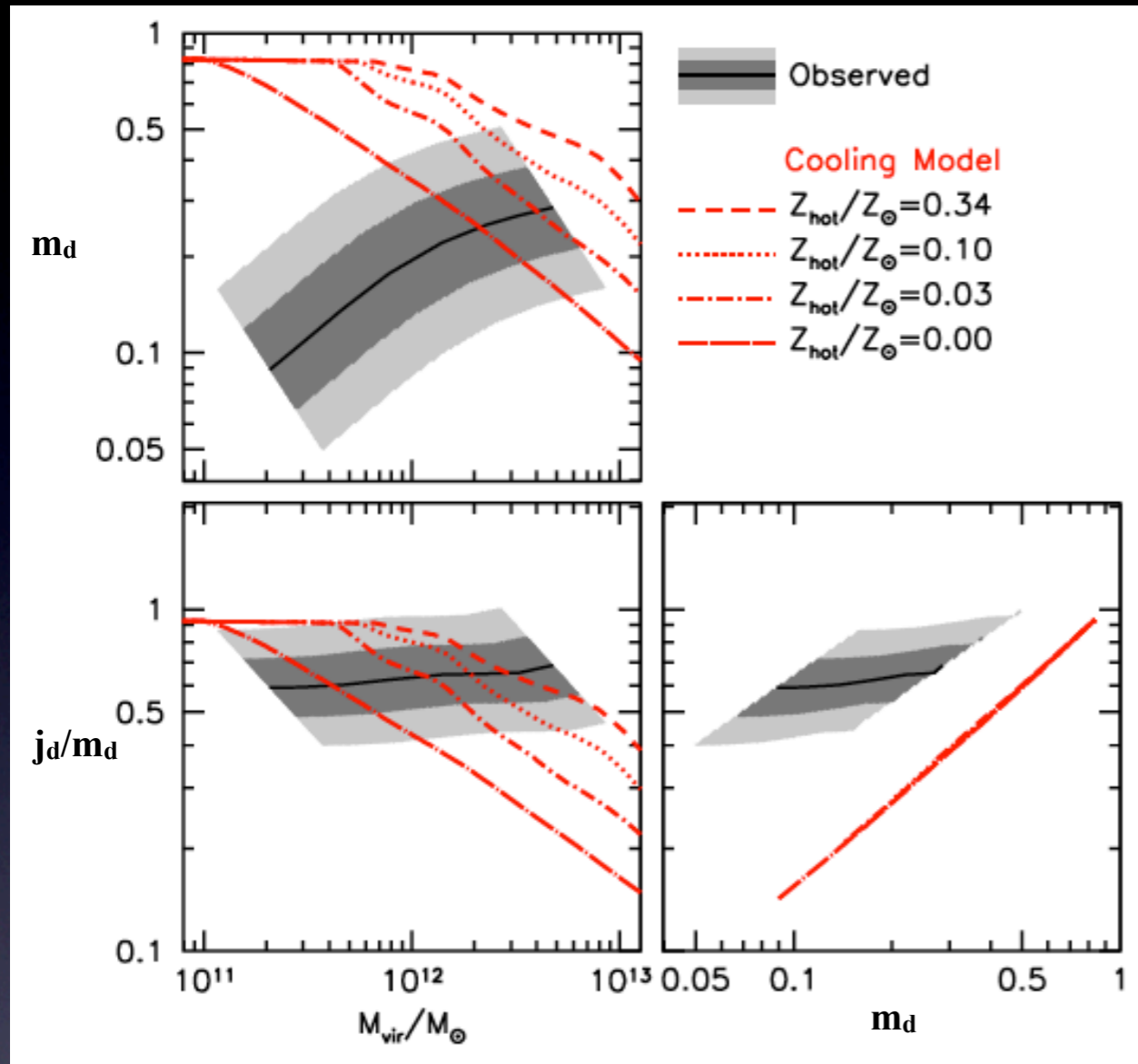
$$J_{\text{gal}}/J_{\text{vir}}$$

$$\lambda'_{\text{vir}} = 0.031$$

$$m_d \equiv \frac{M_{\text{gal}}/M_{\text{vir}}}{\Omega_b/\Omega_m}$$

$$\frac{j_d}{m_d} \equiv \frac{J_{\text{gal}}/J_{\text{vir}}}{M_{\text{gal}}/M_{\text{vir}}}$$

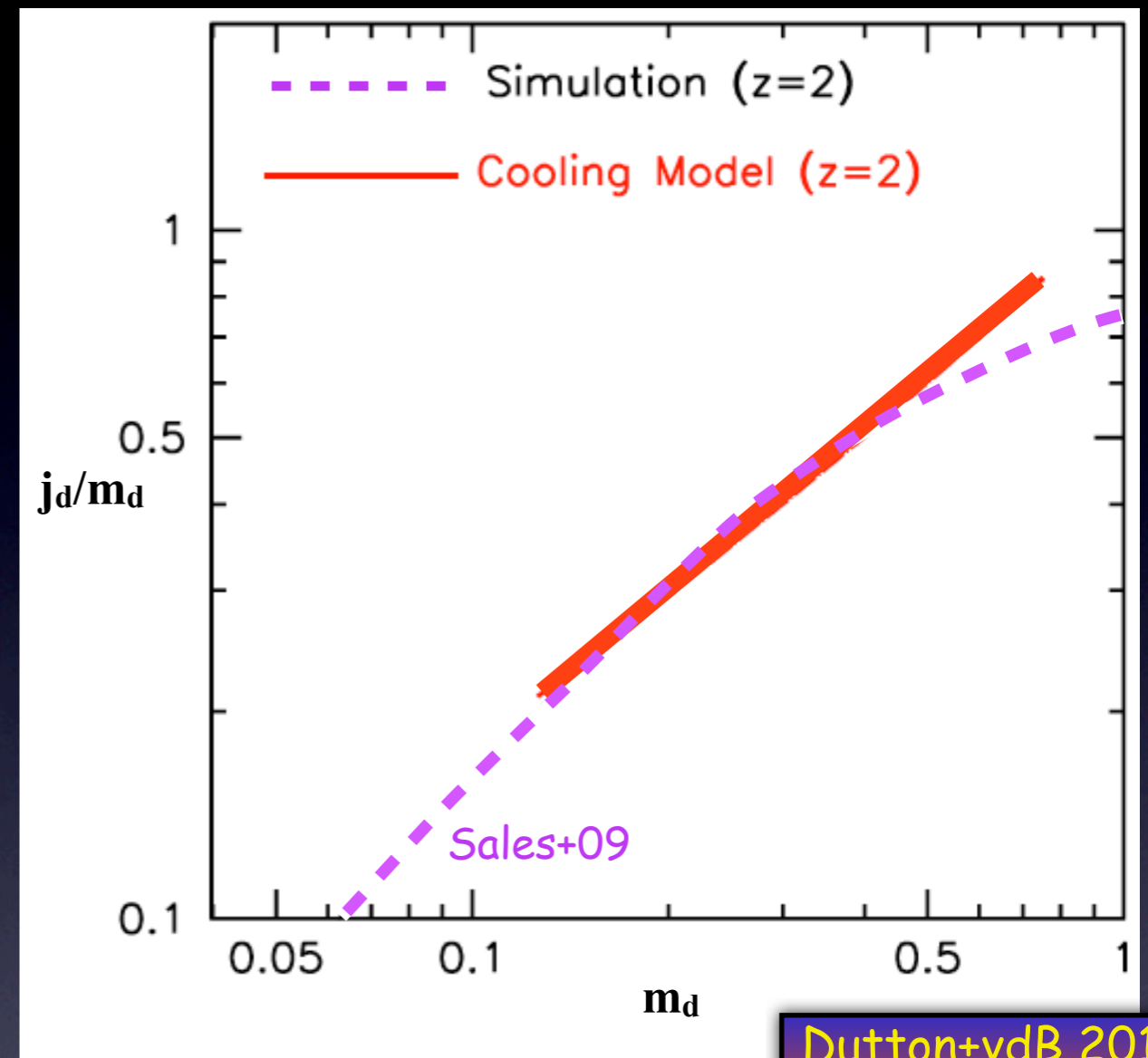
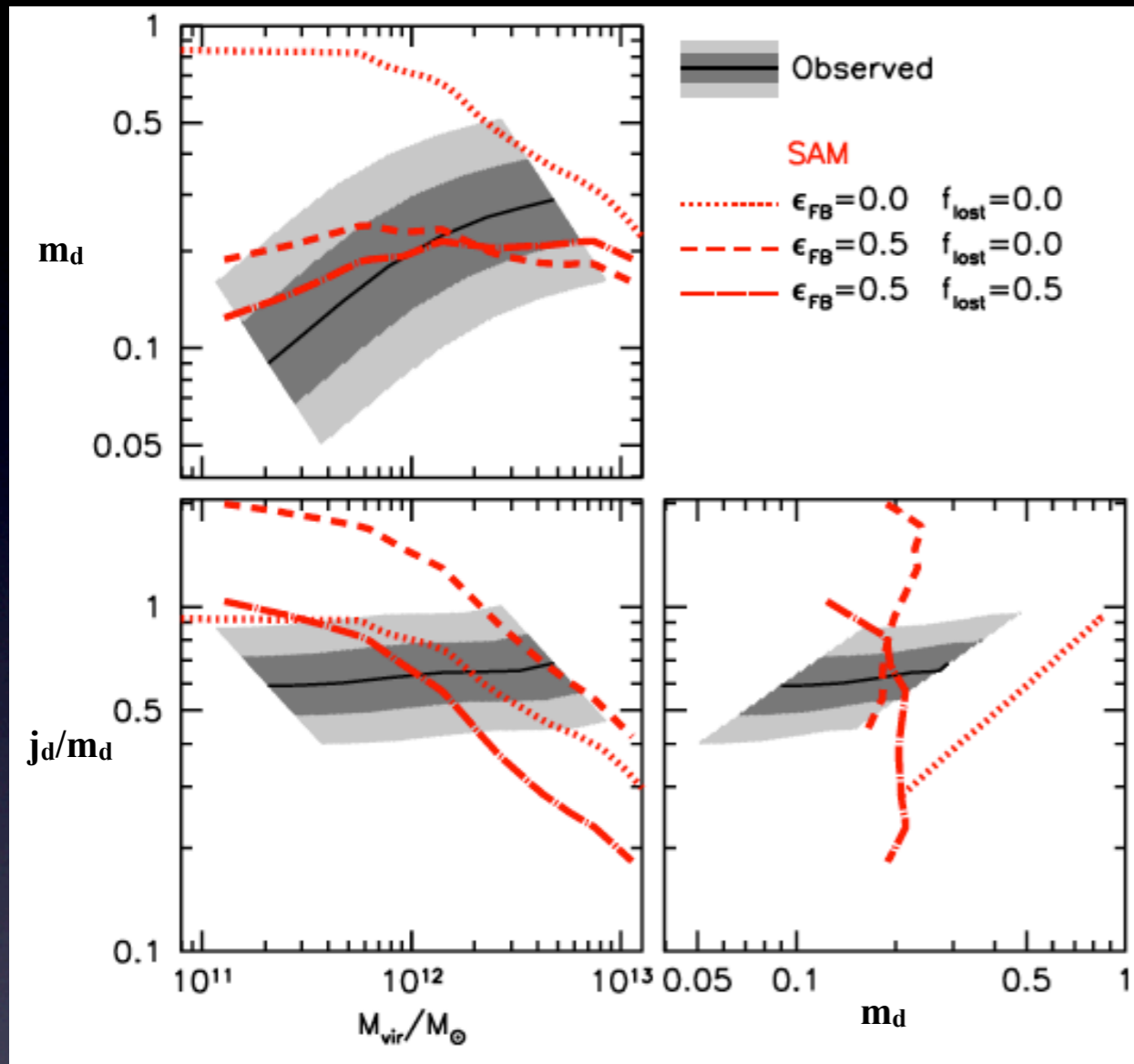
The Assembly of Mass and Angular Momentum



Dutton+vdB 2012

- The gray-shaded areas mark region in 'galaxy-formation-space' that are required in order to yield disks with the observed scaling relations.
- m_d has strong halo-mass dependence, j_d/m_d does not.
- This is NOT a 'natural' outcome of a scenario in which disks form 'inside-out'

The Assembly of Mass and Angular Momentum



Dutton+vdB 2012

- More sophisticated models with SN feedback and angular momentum transfer (disk-->halo) fair only slightly better; no 'natural' explanation within standard 'framework' of disk formation
- Hydro-simulations of Sales et al. (2009) predict relation between j_d/m_d and m_d similar to that of naive 'inside-out-cooling-model'; outflows in simulations preserve rank-order of E_{binding}

Conclusions & Outstanding Issues

Are Disk Galaxy Scaling Relations a 'success' for the LCDM paradigm?

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TF zero-point and angular momentum catastrophe have caused too much of a problem.

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NO TF zero-point and angular momentum catastrophe have caused too much of a problem.

Are Disk Galaxy Scaling Relations a `failure' for the LCDM paradigm?

NO We now know what is needed to make it work, although this is not `natural'

- The formation of disk galaxies causes the central regions of dark matter halos to expand (or at least, not to contract). **HOW?**
- The small fraction of baryons that end up in disk have a disproportionate fraction of the specific angular momentum. **WHY?**
- Do we need to modify models for disk formation to account for
cold flow feeding of disks ? [e.g, Kimm+11; Power+11; Pichon+11]
secular redistribution of angular momentum ? [e.g., Tonini+11; Minchev+12; Roškar+12]